

НАЦИОНАЛЬНЫЙ РАСЧЕТНЫЙ ДЕПОЗИТАРИЙ ГРУППА МОСКОВСКАЯ БИРЖА

21 December 2021, Moscow

# FEDERAL LAW NO. 514-FZ "ON MAKING AMENDMENTS TO THE FEDERAL LAW "ON THE SECURITIES MARKET" AND CERTAIN OTHER LAWS"

SPECIFIC ASPECTS OF CORPORATE ACTIONS CONDUCTED IN THE EVENT OF A CHANGE IN THE NOMINAL VALUE OF SHARES

#### **CORPORATE ACTION TYPES**

SPLR – Reverse stock split / Change in nominal value

Credit of all shares with the new registration number

SPLF – Stock split / Change in nominal value

INCR – Increase in value

**DECR** – Decrease in value

Before 1 January 2020	After 1 January 2020
Registration number – gets changed (a new issue is registered) ISIN – NO changes	Registration number – NO changes (no registration of a new issue) ISIN – NO changes Issue ID (depository code) assigned by NSD – gets changed
Issue ID (depository code) assigned by NSD – gets changed	
Securities movement:	Securities movement:
<ul> <li>Debit of all shares with the old registration number</li> </ul>	<ul> <li>Debit of all shares with the old ID (depository code)</li> </ul>

• Credit of all shares with the new ID (depository code)

#### **INTERACTION PROCESS** - Registrar - Issuer Provides documents confirming the state registration of changes made to the securities issuance resolution Debits the shares with the old ID (depository Notifies NSD of the code) and credits the shares with the new upcoming CA (CANO) ID (depository code). Sends confirming documents to NSD Information on the upcoming CA must be provided in advance, before making the Exchange of information on the ID relevant transactions (depository code) of the shares with the new nominal value. For that purpose, existing messages are used M Processes the CA and sends Assigns a new ID (depository code) to the statements securities issue with the new nominal value. MS101+CACO



### **CA SPECIFICS**

The following details must be provided in the documents regarding the debit/credit of securities and confirming the execution of relevant transactions in NSD's account or the completion of reconciliation with the CSD:

- a security ID(s) assigned by NSD and the nominal value of the securities participating in the CA;
- CA Reference assigned by NSD.

The CA Reference has to be provided in the field "additional information" in Registrar's statements.



The state registration date of the changes made to the issuance resolution is NOT the state registration date of the issue, which remains unchanged.



## **CONTACTS**

In case of any questions or proposals, please contact:

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