**Protocol of Data Interchange between NSD and Nominee Holders/Foreign Nominee Holders in Connection with the Compilation of List upon Request from a Registrar/Issuer/Bank of Russia.**

Abbreviations:

**List** - a list of holders and list of persons exercising rights under securities;

**T** - the date of a request from the Registrar to NSD;

**RD** - the record date;

**D** - the date of change in balances;

**EDI** - NSD's electronic document interchange system (LUCH software, WEB-service, WEB-access);

**n** - the period in which the client is required to provide information to NSD in accordance with the Bond Resolution;

**m** - the period within which NSD is required to provide information to the issuer/paying agent in accordance with the Bond Resolution.

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| Item no. | Message type | Route | Reporting forms depending on the communication channel | | | Message delivery period | Pre-condition of message generation |
| SWIFT FIN | SWIFT FileAct | NSD EDI |
|  | Notification (corporate action request) | NSD🡪NH/FNH | GS61S(MT564 -DSCL, with further details) |  | GS061  (Russian) | T;  T+1, if the List request is received after 6.00 p.m. (Moscow time)  RD+1, if the List request is received before RD or disclosure of bondholders is required by the bond issue-related documents | NSD received a request to compile the List |
|  | List Registration Instruction\* | NSD🡨NH/FNH |  | RF005  (NEWM) | RF005  (NEWM) | T+12/RD+12 - in general case;   |  | | --- | | RD+n - in case the bond issue documents provide for disclosure of the list of holders; | |  |
|  | Information on persons in whose interests the rights under securities are exercised (II part of the List) \* | NSD🡨FNH |  | \*xls (\*xsls) document |  | T+12/RD+12 |  |
|  | Protocol of successful registration of the List | NSD🡪NH/FNH |  | Protocol GE062  (English)  Protocol GS062  (Russian) | Protocol GE062  (English)  Protocol GS062  (Russian) | On the RF005 date | NSD received information from a nominee holder/foreign nominee holder (62nd transaction) |
|  | Cancellation Report | NSD🡪NH/FNH |  | GE001  (English)  GS001  (Russian) | GE001  (English)  GS001  (Russian) | On the RF005 date | Transaction 62 has not been checked or at least one holder's details have not been recorded |
|  | Notification (additional when balances change) | NSD🡪NH/FNH | GS61S(MT564 -DSCL, REPL with further details) |  | GS061  (Russian) | D+1 | Change of securities balances on the NH/FNH account as of the record date. |
|  | Instruction to change the List | NSD🡨NH/FNH |  | RF005  (AMND) | RF005  (AMND) | At any time |  |
|  | Instruction to cancel the List  (63rd transaction) | NSD🡨NH/FNH |  | GF070 | GF070 | Until  T+12/RD+12 - in general case;  RD+n - in case the bond issue documents provide for disclosure of the list of holders; |  |
|  | Report on the cancellation of the List | NSD🡪NH/FNH |  | GE070  (English)  GS070  (Russian) | GE070  (English)  GS070  (Russian) | On the GF070 date | Report on execution of the 63rd transaction (cancellation of the List) |
|  | List cancellation failure report | NSD🡪NH/FNH |  | GE001  (English)  GS001  (Russian) | GE001  (English)  GS001  (Russian) | On the GF070 date | Report on execution of the 63rd transaction (cancellation of the List) |
|  | Consolidated List Compilation Notification \*\* | NSD🡪NH/FNH |  | GE610  (English)  GS610  (Russian) | GE610  (English)  GS610  (Russian) | T+13 working days /RD+13 working days - in general case;  RD+m - in case the bond issue documents provide for disclosure of the list of holders. |  |
|  | Repeated request for disclosure on the Date of Notification of Non-Disclosure\*\*\* | NSD🡪NH/FNH | GS036(MT564 -DSCL, RMDR with further details) |  | GS036  (Russian) | T+12/RD+12 |  |

\* The deadline may be reduced at the Registrar's request;

\*\* Notification may be provided earlier than the deadline if all NSD's clients have provided the required information.

\*\*\* A repeated request for disclosure may be sent within a timeframe different from T+12 if a request is received from the Registrar/issuer/Bank of Russia to provide the lists within a shorter timeframe.

Note: English version of reports GS062, GS001, GS070, GS610 (GE062, GE001, GE070, GE610) is sent to the clients who have made the appropriate settings in the 97 instruction.