**Protocol of Data Interchange between NSD and Nominee Holders/Foreign Nominee Holders in Connection with the Compilation of Lists upon Requests from Registrars/Issuers/Bank of Russia**

Abbreviations:

**List** is a list of securities holders or a list of persons authorized to exercise rights attached to securities

**T** is the date when the request is received by NSD from the registrar

**RD** is the record date (date as of which a List of securities holders is compiled)

**D** is the date of changes in the securities balance

**EDI** is NSD’s Electronic Data Interchange system (File Gateway, Web-service, NSDirect (CA Web-client))

**n** is the number of days within which the client is required to disclose information to NSD in accordance with the relevant Bond Resolution

**m** is the number of days within which NSD is required to disclose information to the issuer/paying agent in accordance with the relevant Bond Resolution

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| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Message type | Route | Report forms (depending on the communication channel used) | | | Message delivery period | Pre-condition of message generation |
| SWIFT FIN | SWIFT FileAct | NSD EDI System (File Gateway or Web-service) |  |  |
|  | Disclosure Request (a request to compile a List) | NSD🡪NH/FNH | GS61S (MT564 – DSCL, with additional information and function NEWM) |  | GS61N(Russian)  GE61N (English) | T  T+1, if the Disclosure Request is received after 6.00 p.m. (Moscow time)  RD+1, if the Disclosure Request is received before RD or disclosure of bondholders is required by the bond issue-related documents | NSD shall have received a Disclosure Request |
|  | List Registration Instruction\* | NSD🡨NH/FNH |  | RF005  (NEWM)  Message identifier: seev.xxx.nsd.depo.rf005  RequestType: seev.xxx.nsd.depo.rf005 | RF005  (NEWM) | |  | | --- | | T+12 / RD+12, if the basis of the Disclosure Request is OWNS (for shares);  RD+n, if disclosure of bondholders is required by the bond issue-related documents | |  |
|  | Global status | NSD🡪NH/FNH |  | GS01N (Russian)  GE01N (English)  Message identifier: seev.xxx.nsd.depo.ge01n  Message identifier: seev.xxx.nsd.depo.gs01n | GS01N (Russian)  GE01N (English) | On the date of receipt of the RF005 Form | NSD shall have received information from the nominee holder / foreign nominee holder |
|  | Detailed status (disaggregated by holder) | NSD🡪NH/FNH |  | GS62N(Russian)  GE62N (English)  Message identifier: seev.xxx.nsd.depo.ge62n  Message identifier: seev.xxx.nsd.depo.gs62n | GS62N(Russian)  GE62N(English) | On the date of receipt of the RF005 Form | NSD shall have received information from the nominee holder / foreign nominee holder |
|  | Notification (additional if the securities balance has changed) | NSD🡪NH/FNH | GS61S (MT564 with function REPL) |  | GS61N (Russian)  GE61N (English) | D+1 | As of the record date, the securities balance in the nominee/foreign nominee account shall have changed |
|  | List Amendment Instruction | NSD🡨NH/FNH |  | RF005  (AMND)  Message identifier: seev.xxx.nsd.depo.rf005  RequestType: seev.xxx.nsd.depo.rf005 | RF005  (AMND) | Any time |  |
|  | Disclosure Request Cancellation | NSD🡪NH/FNH | GS61S (MT564 with function CANC) |  | GS61C (Russian)  GE61C (English) | On the date of receipt of the GF070 Form | Disclosure Request Cancellation |
|  | Disclosure Reminder | NSD🡪NH/FNH | GS036 (MT564 with function RMDR, a separate one for each security participating in each CA) |  | GS36N (Russian)  GE36N (English) | T+12 / RD+12 |  |
|  | Consolidated List Compilation Notice\*\* | NSD🡪NH/FNH |  | GS60N (Russian)  GE60N (English)  Message identifier: seev.xxx.nsd.depo.ge60n  Message identifier: seev.xxx.nsd.depo.gs60n | GS60N (Russian)  GE60N (English) | T+13 business days / RD + 13 business days (generally)  RD+m, if disclosure of bondholders is required by the bond issue-related documents |  |
|  | List Status Advice (from the registrar) | NSD🡪NH/FNH |  | GS61R (Russian)  GE61R (English)  Message identifier: seev.xxx.nsd.depo.ge61r  Message identifier: seev.xxx.nsd.depo.gs61r | GS61R (Russian)  GE61R (English) | On the date of receipt of the List Status Advice from the registrar |  |

\* If so requested by the registrar, the time period may be shortened.

\*\* A notice may be given before the deadline, provided that all of NSD’s clients have disclosed the information requested.

Note: An English language version of reports GS61N, GS01N, GS62N, GS61C, GS36N, GS60N, GS61R (*i.e.*, GE61N, GE62N, GE61C, GE36N, GE60N, GE61R) will be sent to those clients who have made the relevant settings by instruction 97.