**List of documents to be submitted to NSD by Holders of Russian Eurobonds**

**(in cases where the International Securities Depository has/has not made the List of Holders available)**

# **Terms and definitions**

* 1. **Details Form АА001** shall mean information to be submitted by the Holder of Eurobonds to identify the legal entity on Form AA001, which is Appendix 1 to the NSD List.
	2. **Details Form АА101** shall mean further information to be submitted by the Holder of Eurobonds to identify the legal entity on Form AA101, which is [Appendix 3](#_Приложение_3_3) to the NSD List.
	3. **Details Form АА106** shall mean details about an individual beneficiary (beneficial owner) to be submitted by the Holder of Eurobonds using the Form AA106, which is [Appendix 4](#_Приложение_3_1) to the NSD List.
	4. **Details Form АА107** shall mean details about a corporate beneficiary to be submitted by the Holder of Eurobonds using the Form AA107, which is Appendix 5 to the NSD List.
	5. **Details Form AA116** shall mean the Individuals Details Form AA116, which is [Appendix 6](#_Приложение_№_1) or Appendix 6.1 to the NSD List, provided by the individual Holder of Eurobonds.
	6. **Apostille** shall mean a stamp that meets the requirements set out in the Hague Convention Abolishing the Requirement of Legalisation for Foreign Public Documents signed in Hague on October 5, 1961 (the Hague Convention) and that is affixed by a competent authority of the state in which the relevant document is issued.
	7. **Bank Account** means a type "I" bank account in the currency of the Russian Federation, indexed at the exchange rate of the foreign currency in which the obligations under the Eurobonds are expressed, opened in the name of one or more persons upon application of the issuer, whose beneficiaries are the Holders of the Eurobonds, to whom payments are to be transferred to fulfil obligations under such Eurobonds pursuant to the bond issue documents and (or) the Decree.
	8. **Extract** from the document means a part of the document certified on paper by an authorised person of the Holder of Eurobonds and stamped (if any).
	9. **Record Date** shall mean the end of the 3rd (third) business day before the payment date as stipulated in the Eurobond issue documents or the end of business day on an earlier date in accordance with the procedure set forth in the Eurobond issue documents.
	10. **Holder of Eurobonds** means an individual or legal entity which is the owner of the Eurobonds or other person exercising rights to the Eurobonds, whose rights are recorded in accounts opened with International Securities Depositories, and who have applied to NSD for receiving cash deposited to the Bank Account, or whose rights are recorded with the involvement of the Russian Depository, to whom an account for recording Eurobonds of the Russian Federation is opened in a Foreign Depository.
	11. **EDI Agreement** shall mean the Electronic Data Interchange Agreement between NSD and the Holder of Eurobonds.
	12. **Eurobonds** shall mean collectively or individually as Russian Eurobonds (RU) and Russian Eurobonds (XS).
	13. **Russian Eurobonds (RU)** are external bonds issues by the Russian Federation denominated in a foreign currency, subject to mandatory centralised safekeeping at NSD.
	14. **Russian Eurobonds (XS)** are external bond issues by the Russian Federation denominated in a foreign currency, with safekeeping at an International Securities Depository.
	15. **Application** shall mean an application by a Holder of Eurobonds for the transfer of proceeds related to the Eurobonds in the form set out in Appendix 4 or Appendix 4.1 to the List.
	16. **International Securities Depository (ISD)** shall mean an international organization which is authorised under the personal law to record and transfer the rights to Eurobonds (including the entity that has a Foreign Nominee Holder Account with).
	17. **Foreign Nominee Holder** shall mean an International securities depository which a Foreign Nominee Holder Account has been opened to.
	18. **International Broker** shall mean a foreign company entitled to carry out brokerage activities on the securities market in accordance with its personal law.
	19. **Copy** shall mean a document that reproduces in full (all pages) an Original/Notarised Copy and its appearance, in hard copy, that is certified by the authorised person of the Holder of Eurobonds and stamped with corporate seal (if any), or a scanned copy certified by the EDS of Holder's authorised person, unless otherwise provided for by the EDI Agreement or the List, and submitted via the User Account.

A scanned copy shall be a copy of a document issued in accordance with the requirements set out in the List.

* 1. **Bank of Russia/Federal Tax Service User Account** shall mean a Bank of Russia Data Interchange Participant's User Account, or Application Suite "E-Repository of Credit Institutions' Legal Files", or Corporate User Account being used by the Holder of Eurobonds to communicate with the registration authority.
	2. **User Account** shall mean the User Account software and hardware solution, a component of the Moscow Exchange's EDI System, through which the Participant may maintain Web-communications with the Moscow Exchange Group companies, including NSD.
	3. **Notarial Extract** shall mean (whichever is applicable):
		1. a copy of a part of the document the authenticity of which to the Original has been certified by a notary public in accordance with the applicable Russian laws on notaries;
		2. a copy of a part of the document which faithfulness to the Original has been certified by a relevant authority (person) of a foreign country (NSD accepts such copies only if legalised according to paragraphs 2.1 and 2.2, Section 2 of the List).
	4. **Notarised Copy** shall mean a copy of all pages (unless otherwise provided by the legislation of a foreign state) of the document certified as true to the Original by a notary public in accordance with the notary law of the Russian Federation or by a competent authority (person) of a foreign state.
	5. **NSD** means National Settlement Depository.
	6. **Restrictions** shall mean (jurisdictions of the United Kingdom, European Union, United States of America and Ukraine are taken into account, whichever is applicable):
		1. restrictive measures imposed by authorised bodies of foreign countries, international organisations, foreign financial organisations in respect of a person whose Eurobond account has been reported or in respect of a person owning directly or indirectly, solely or in the aggregate, 50 (fifty) or more percent of shares (stakes) of a person whose Eurobond account has been reported;
		2. restrictive measures imposed by authorised bodies of foreign states, international organisations, foreign financial organisations in relation to the territory of registration (citizenship) of the person whose Eurobond account has been reported.
	7. **Original** shall mean (whichever is applicable):
		1. an original document (first edition) in hard copy that is signed by the Holder of Eurobonds (its authorised person) and stamped with corporate seal (if any), or a document/information in the form of an electronic file issued in the User Account (submitted via the User Account) and signed with the EDS of the Holder's authorised person, unless otherwise provided for by the EDI Agreement;
		2. an original document (first edition) in hard copy signed by the International Securities Depository (International Securities Depository’s authorised person) and bears the corporate seal (if any);
		3. original (first edition) of the document in hard copy signed by the international broker (international broker’s authorised person) and bears the corporate seal (if any).
	8. **List** shall mean this List of Documents to be submitted by Holders of Russian Eurobonds to NSD.
	9. **NSD List** shall mean the List of Documents to Be Submitted by clients-legal entities to NSD available on the Website.
	10. **List 1 of Information (Documents) Necessary for Tax Withholding** shall mean List 1 of information (documents) in Appendix No.1 to the List.
	11. **Written waiver by the Holder of Eurobonds** of all potential future complaints shall mean a written waiver by the Holder of Eurobonds of all potential future complaints in the form set out in Appendix 5 to the List.
	12. **AML/CFT/WMD** shall mean anti-money laundering and combating the financing of terrorism and financing of the proliferation of weapons of mass destruction.
	13. **EDI Rules** shall mean NSD Electronic Data Interchange Rules.
	14. **Order** shall mean Ministry of Finance Order No 415 of 13 September 2023 "On Approval of the List of Documents Confirming the Rights of Holders of Government Securities of the Russian Federation, Denominated in Foreign Currency".
	15. **Order No. 414** shall mean Order of the Ministry of Finance of the Russian Federation No. 414 dated 13 September 2023 "On the Temporary Procedure for the Performance of Public Debt Obligations of the Russian Federation under Russian Government Securities Denominated in Foreign Currency".
	16. **Eurobond Holder Registry** shall mean the registry of holders of Russian Federation Eurobonds, compiled by NSD in accordance with the Order of the Russian Ministry of Finance dated 5 September 2024 No. 388 "On the replacement of Russian Federation government securities denominated in foreign currency in 2024".
	17. **Website** shall mean the NSD website at [www.nsd.ru](https://www.nsd.ru/en/).
	18. **List from the International Securities Depository** shall mean a list of owners of Eurobonds and persons exercising rights under the Eurobonds, as compiled as of the Record Date, specifying their bank details for making payments. The List is provided by the International Securities Depository to which the type "I" account is opened by NSD at its request.
	19. **Holder's Account** shall mean the account of a Holder of Eurobonds with an International Securities Depository in which the rights to the Eurobonds are recorded.
	20. **EDI** shall mean the Electronic Data Interchange System.
	21. **Foreign Nominee Holder Account** shall mean a securities account opened for the Foreign Nominee Holder.
	22. **Notification of Additional Information (Documents) to the previously submitted Application** shall mean a notification of sending further documents (information) to the previously submitted Application according to the form in Appendix 2 or Appendix 2.1 to the List.
	23. **Notification of Additional Documents (Information) to the List of the ISD (the "Notification")** shall mean the notification on sending additional documents (information) to the List of the International Securities Depository in the form being Appendix 3 or Appendix 3.1 to the List.
	24. **Decree No. 665** shall mean Decree No. 665 of the President of the Russian Federation dated 09 September 2023 "On Temporary Procedure for Settlement to Residents and Foreign Lenders of Russian Public Debt Obligations, Expressed in Government Securities Denominated in Foreign Currency, and Other Obligations under International Securities".
	25. **Decree No. 677** shall mean Decree No. 677 of the President of the Russian Federation dated 8 August 2024 "On Additional Measures for the Settlement to Residents and Foreign Lenders of Russian Public Debt Obligations, Expressed in Government Securities Denominated in Foreign Currency".
	26. **EDS** shall mean an enhanced (either certified or non-certified) digital signature.
	27. **Non-resident Legal Entities** shall mean legal entities that meet criteria of paragraph 7 of part 1 of article 1 of Federal Law No. 173-FZ On Currency Regulation and Currency Control, dated December 10, 2003.
	28. **Resident Legal Entities** shall mean legal entities that meet criteria of paragraph 6 of part 1 of article 1 of Federal Law No. 173-FZ On Currency Regulation and Currency Control, dated December 10, 2003.
	29. Any other terms used in this List shall have the meanings given to them in the relevant Russian laws and in the EDI Rules.

# **Specific Requirements to the Submission of Documents**

# Official documents confirming the legal status of a Non-Resident Legal Entity issued by the competent authorities of foreign countries, as well as other documents in cases expressly provided for in the List, are accepted by NSD provided that they are legalised in the prescribed manner:

* + 1. in accordance with the general rule, by way of consular legalization by consular posts of the Russian Federation or by consular sections of diplomatic missions of the Russian Federation; or
		2. for Hague Convention countries, by an Apostille.

# Legalization shall not be required if:

* + 1. the documents have been issued in a country that is a party to the Convention on Legal Assistance and Legal Relations in Civil, Family and Criminal Matters signed in Chisinau on 7 October 2002 (Armenia, Azerbaijan, Belarus, Kazakhstan, Kyrgyzstan, Tajikistan and Uzbekistan),
		2. documents supporting the status of non-resident banks were issued by competent authorities of foreign states;
		3. documents supporting the status of Non-Resident Legal Entities other than non-resident banks or an unincorporated foreign entity issued by the competent authorities of foreign countries, unless NSD has any doubts about the reliability or accuracy of the documents and/or information submitted by such entities. In such cases, NSD is entitled to require the legalisation of these documents.

# Documents evidencing ownership of Eurobonds, as provided for in paragraph 3 of Sections 4 and 5 of the List, are accepted by NSD provided that the signatories' signatures are authenticated[[1]](#footnote-2).Such documents whose signatures are authenticated outside the Russian Federation are accepted by NSD provided that the legalisation requirements are met:

* + 1. in accordance with the general rule, by way of consular legalization by consular posts of the Russian Federation or by consular sections of diplomatic missions of the Russian Federation; or
		2. for Hague Convention countries, by an Apostille.

# The requirement stipulated in paragraph 2.3 of the List shall not apply:

* + 1. if Restrictions are imposed on the person whose account has been reported regarding the ownership of the Eurobonds, or on the person owning directly or indirectly, solely or in aggregate, 50 (fifty) or more per cent. of the shares (interests) of the person whose account has been reported regarding the ownership of the Eurobonds, or on the territory of registration (citizenship) of the person whose account has been reported regarding the ownership of the Eurobonds;
		2. if the Holder of the Eurobonds is a credit organisation or a non-credit financial organisation regulated by the Bank of Russia, the rights to the Eurobonds of which are recorded in the International Securities Depository;
		3. if the International Securities Depository keeping records of the rights of the Eurobond Holder is a legal entity in respect of which a credit institution or a non-credit financial institution regulated by the Bank of Russia, by virtue of its participation in this legal entity or in accordance with the powers received, including on the basis of a written agreement, from other persons, has more than 50 (fifty) per cent of the total number of votes attributable to voting shares (stakes) in the charter (share) capital of this legal entity[[2]](#footnote-3).

# Documents executed in full or in any part thereof in a foreign language shall be submitted with a translation whose authenticity (authenticity of the translator's signature) has been certified in accordance with the established procedure, except for documents evidencing ownership of Eurobonds provided for in paragraphs 3, 4 of Sections 4 and 5 of the List and executed in English, as well as the document provided for in paragraph 5 of Section 4 of the List.

# The requirement in paragraph 2.5 of the List shall not apply to documents issued by competent authorities of foreign states certifying individuals' identity, provided that:

* + 1. individuals hold a document that supports their right to legitimately stay (reside) in the Russian Federation;
		2. such documents are issued in more than one language, including Russian.

# Foreign citizens or stateless persons who are Holders of Eurobonds or their authorised representatives located in the Russian Federation, shall be required to submit to NSD the following documents in addition to their ID documents:

* + 1. a [copy](#_Копия_–_документ,_1) of the document that supports his/her right to stay (reside) in the Russian Federation;
		2. details (in any written form) of the address of residence in the Russian Federation and the address of registration outside the Russian Federation.

# Documents identifying the Eurobond Holder may not be submitted if the Application states that there have been no changes to the documents (information) previously provided to NSD, including to the Details Forms (and the details contained therein) (unless otherwise provided in the List). If NSD has reasonable doubts about the validity of the documents (information) previously submitted to NSD, it is entitled to request additional documents (information).

# For the purposes of acting as a tax agent, implementing NSD's AML/CFT/WMD internal control rules and in other cases prescribed by Russian law and the agreements, the Holder of Eurobonds must provide additional information and documents requested by NSD.

# If the documents of the International Securities Depository/International Broker provided under paragraphs 3 and 4 of section 4 of the List are signed by a representative under a power of attorney, such a power of attorney must be submitted (Original or Notarised Copy). If the documents of the International Securities Depository/International Broker provided under paragraphs 3 and 4 of section 4 of the List are signed by a person acting on behalf of the International Securities Depository/International Broker without a power of attorney, NSD may require documents confirming their relevant authority (Original or Notarised Copy). The authority of persons signing the International Securities Depository/International Broker document may be confirmed by a notary (competent authority) within the document itself; in this case, additional documents confirming the authority are not required.

# If NSD receives information about the details of the Holder's bank account to which payments due under the Eurobonds are to be credited, either from the Holder's Notification or from the ISD's List, NSD shall use the bank account details specified in the ISD's List, or, if it is impossible to use them, the bank account details received from the Holder. By submitting the above-mentioned details, the Holder assumes responsibility for their accuracy and completeness.

# **Documents to be submitted by Holders of Eurobonds where the International Securities Depository has made the List of Holders available**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Document name | Permitted forms | Permitted submission methods | Notes |
| 1. | Notification of Additional Documents (Information) to the List of the ISD | Original | In hard copyElectronically(if the EDI Agreement is available) | Provided upon submission to NSD of the documents specified in paragraphs 2 and 3 of Section 3 of the List, in the form of Appendix 3 or 3.1 to the List in respect of each Eurobond payment.If it is necessary to submit additional documents to NSD in addition to the previously submitted Application, the form of Appendix 2 or 2.1 to the List shall be used in respect of each Eurobond payment.In case the Notification of Additional Documents (Information) to the **List of the ISD** is signed by a person acting on the basis of a power of attorney on behalf of the Holder or on behalf of the ISD, the Original or Notarised Copy of the power of attorney shall be provided.A **power of attorney** on behalf of a natural person must comply with the requirements stipulated in paragraph 2.1.4 of Section 4 of the List; the documents stipulated in paragraph 2.1.3 of Section 4 of the List must be attached to the power of attorney.A **power of attorney** on behalf of a resident legal entity must comply with the requirements stipulated in Section 4, paragraph 2.2.9 of the List; the documents stipulated in Section 4, paragraphs 2.2.7 and 2.2.8 of the List must be attached to the power of attorney.A **power of attorney** on behalf of a non-resident legal entity must comply with the requirements stipulated in Section 4, paragraph 2.3.9 of the List; the documents stipulated in Section 4, paragraphs 2.3.8 and 2.3.10 of the List must be attached to the power of attorney.In case the **Notification is signed** by a person acting on behalf of a resident legal entity without a power of attorney, it is necessary to submit the documents provided for in paragraphs 2.2.7 and 2.2.8 of Section 4 of the List.In case the **Notification is signed** by a person acting on behalf of a non-resident legal entity, being the Holder or ISD without a power of attorney, it is necessary to submit the documents provided for in paragraph 2.3.8 of Section 4 of the List |
| 2. | Information (documents) required for withholding tax |  |  | To be provided in accordance with List 1 of Information (Documents) Necessary for Tax Withholding |
| 3. | Written waiver by a Eurobond Holder of all potential future complaints  | Original | In hard copy | Provided in the NSD's form agreed with the Ministry of Finance of the Russian Federation, if such waivers were not collected through international depositories involved in keeping the Eurobonds |

# **Documents to be submitted by Holders of Eurobonds where the International Securities Depository has not made the List of Holders available**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Document name | Permitted forms | Permitted submission methods | Notes |
| 1. | Documents evidencing the rights of the Holders of Eurobonds  |
| 1.1 | Notification of Additional Information (Documents) to the previously submitted Application | Original | In hard copyElectronically(if the EDI Agreement is available) | It is required if additional documents in the form of Appendix 2 or 2.1 to the List are submitted to NSD in respect of each Eurobond payment |
| 1.2 | An Application indicating: * bank details for the transfer of payments on the Eurobonds;
* links to the official website of the authorised body that issued the license (permit) or included the International Securities Depository and/or International Broker in the list (register) of organizations authorised to keep record of and transfer the ownership to securities.
 | Original | In hard copy Electronically(if the EDI Agreement is available) | To be provided in respect of each Eurobond payment.If a complete and up-to-date set of documents meeting the requirements set forth in this List was submitted by the Holder for payment to NSD prior to the entry into force of the Order and for which NSD was previously forced to refuse to pay cash, then in such case, in order to finalise the documents, the Holder of Eurobond need only submit a new Application to NSD without resubmitting the previously submitted documents. In this case, the period for reviewing the documents provided for in subparagraph d) of paragraph 7 of Order 414 will commence to run from the date of NSD's receipt of the new Application. |
| 2. | Documents identifying the person confirming entitlement to the Eurobonds  |
| 2.1. | **Documents to be submitted by individuals**  |
| 2.1.1. | **Details Form AA116** (Appendix to the Application) | Original | In hard copy | An individual's signature must be notarised or a sample of the individual's signature must be made in the presence of an NSD employee.If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1, 2.2, Section 2 of the List).If data referred to in Section 2 and Section 3 of Details Form AA116 issued and executed in accordance with the List changes, a scanned image of updated Details Form AA116 on paper may be submitted via e-mail at MinfinDocs@nsd.ru. The new Details Form AA116 is submitted with the Notification of Additional Information (Documents) to the previously submitted Application in the form of Appendix 2 or 2.1 to the List. |
| 2.1.2. | Details Form АА106 (Appendix to the Application) | Original | In hard copy | To be submitted if: 1. there is an individual beneficial owner and/or beneficiary whose details are shown on the Details Form AA116. A separate Details Form has to be submitted for each individual beneficial owner/beneficiary;
2. there is an individual representative of the Holder of Eurobonds acting on his/her behalf under a power of attorney.

In the event that a document is signed under a power of attorney and the representative's signature is not included in Details Form AA116, the authenticity of the signature must be notarised, or the signature must be made in the presence of an NSD employee.If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1, 2.2, Section 2 of the List). |
| 2.1.3. | ID documents of the persons below: the individual who is the Holder of the Eurobonds; persons authorised to sign the relevant documents on behalf of the individual who is the Holder of the Eurobonds. | Original (for NSD employee to make and certify a copy) [Notarised Copy](#_Нотариальная_копия_–) Notarised extract | In hard copy | Foreign nationals/stateless persons shall also submit to NSD the documents referred to in paragraph 2.7, Section 2 of the List. |
| 2.1.4. | **Power of attorney** issued to persons authorised to sign relevant documents on behalf of the individual who is the Holder of the Eurobonds | Original[Notarised copy](#_Нотариальная_копия_–) | In hard copy | The power of attorney must be certified by a notary or a competent authority (person) (this includes certifying the necessary powers of the giver of the power of attorney).If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1, 2.2, Section 2 of the List). |
| 2.2 | **Documents to Be Submitted by Russian Resident Legal Entities** |
| 2.2.1. | **Details Form АА001** (Appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) | The authenticity of the signature of a person acting on behalf of the Resident legal Entity must be notarised or a specimen signature of such person must be executed in the presence of a NSD employee.This requirement does not apply if the signature is notarised or a specimen signature is executed in the presence of a NSD employee in another document submitted by the Resident Legal Entity to NSD in accordance with the List. If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1 and 2.2, Section 2 of the List). |
| 2.2.2 | **Details Form АА101** (Appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) |  |
| 2.2.3 | **Details Form АА106** (Appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) | To be submitted if there is an individual beneficial owner and/or beneficiary whose details are shown on the Details Form AA101. A separate Details Form AA106 has to be submitted for each individual beneficiary. |
| 2.2.4 | **Details Form АА107** (Appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) | To be submitted individually for each corporate beneficiary whose details appear on Details Form AA101. A separate Details Form AA107 has to be submitted for each individual beneficiary. |
| 2.2.5 | The Resident Legal Entity's **constituent documents** complying with the requirements set out in the Russian laws for forms of business entities, as amended and supplemented as of the date of submission to NSD | [Notarised copy](#_Нотариальная_копия_–)Copy of the document certified by the by the registering authority | In hard copy | Legal entities whose legal status, purposes of establishment and business are determined by interstate and intergovernmental agreements, legislative or regulatory legal acts, shall submit copies of such documents certified by an authorised person of the entity. |
| [Copy](#_Копия_–_документ,) (including a copy of the document received via the Personal Account of the Bank of Russia/FTS) | Electronically(if the EDI Agreement is available) |
| 2.2.6 | A document containing specimen signatures and a seal impression (a card/power of attorney containing a specimen signature of the person to whom it is issued/manager's card/signature and stamp card in the form of Attachment 2 to NSD's List) | [Original](#_Оригинал_–_подлинник)[Notarised copy](#_Нотариальная_копия_–) | In hard copy | The signature of a person acting on behalf of the Resident Legal Entity without a power of attorney, as well as persons acting under a power of attorney (if applicable), must be made in one of the following ways:1. a specimen signature on the signature and seal card in the form of Appendix 2 to the NSD List may be made in the presence of an NSD employee;
2. the authenticity of the signature on the card/power of attorney containing the specimen signature of the person to whom it is issued/manager's card/ signature and stamp card in the form of Appendix 2 to the NSD's List may be notarised.

If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1, 2.2, Section 2 of the List). |
| 2.2.7 | **Documents that support the authority** of the person acting on behalf of the Resident Legal Entity without a power of attorney*(e.g. minutes/decisions/orders of election (appointment) to office)* | [Original](#_Оригинал_–_подлинник)[Notarised copy](#_Нотариальная_копия_–)[Notarised extract](#_Нотариальная_выписка_–_1)[Extract from the document](#_Выписка_из_документа_1) | In hard copy | Adoption by the general meeting of shareholders of the decision at the meeting and the composition of shareholders of the company present at that moment shall be confirmed in accordance with the procedure established by Article 67.1 of the Civil Code of the Russian Federation.At the same time, if the Charter of a limited liability company (Obshchestvo s Ogranichennoy Otvetstvennostyu, OOO) does not provide for an alternative (non-notarial) method of confirming decision-making, the decision of the sole participant/general meeting of participants of OOO on an alternative (non-notarial) method of confirming decision-making by the sole participant/general meeting requires notarial certification.Provision of a document confirming the powers of a person acting on behalf of the entity without a power of attorney is not required in case of revocation of the banking licence of a Resident Legal Entity which is a credit institution and appointment of a temporary administration. |
| [Copy](#_Копия_–_документ,) | In hard copyElectronically(if the EDI Agreement is available) |
| 2.2.8 | **Documents certifying identity** of persons authorised to:- act on behalf of the Resident Legal Entity without a power of attorney; - sign the relevant documents on behalf of the Resident Legal Entity. | [original](#_Оригинал_–_подлинник) (to be made and certified by NSD's employee) [Notarised copy](#_Нотариальная_копия_–)Notarised extract | In hard copy | Foreign nationals/stateless persons shall also submit to NSD the documents referred to in paragraph 2.7, Section 2 of the List. |
| [Copy](#_Копия_–_документ,) | Electronically(if the EDI Agreement is available) | Only a copy made from a Notarised Copy of the document can be provided electronically. |
| 2.2.9 | **Power of attorney** issued to persons authorised: - sign the relevant documents on behalf of the Resident Legal Entity.  | [Original](#_Оригинал_–_подлинник) | In hard copyElectronically(if the EDI Agreement is available) | Only a power of attorney for signing electronic documents in NSD's EDI System may be submitted in electronic form.The power of attorney must contain a specimen signature of the person to whom it has been issued, unless the documents under such power of attorney are signed in the presence of an NSD employee or the person acting under such power of attorney is represented by the documents specified in paragraph 2.2.6, Section 4 of the List. |
| [Notarised copy](#_Нотариальная_копия_–) | In hard copy |
| 2.3 | **Documents to Be Submitted by Russian Non-Resident Legal Entities** |
| 2.3.1 | **Details Form АА001** (Appendix to the Application) | [Original](#_Оригинал_–_подлинник) | In hard copy | The authenticity of the signature of a person acting on behalf of the Non-Resident Legal Entity must be notarised or a specimen signature of such person must be executed in the presence of a NSD employee.This requirement does not apply if the signature is notarised or a specimen signature is executed in the presence of a NSD employee in another document submitted by the Non-Resident Legal Entity to NSD in accordance with the List. If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1 and 2.2, Section 2 of the List). |
| 2.3.2 | **Details Form АА101** (Appendix to the Application) | [Original](#_Оригинал_–_подлинник) | In hard copy |  |
| 2.3.3 | **Details Form АА106** (Appendix to the Application) | [Original](#_Оригинал_–_подлинник) | In hard copy | To be submitted if there is an individual beneficial owner and/or beneficiary whose details are shown on the Details Form AA101. A separate Details Form AA106 has to be submitted for each individual beneficiary. |
| 2.3.4 | **Details Form АА107** (Appendix to the Application) | [Original](#_Оригинал_–_подлинник) | In hard copy | To be submitted individually for each corporate beneficiary whose details appear on Details Form AA101. |
| 2.3.5 | **Documents** evidencing the legal status of organization in accordance with the laws of the Non-resident Legal Entity’s jurisdiction of incorporation | [Notarised copy](#_Нотариальная_копия_–)Copy of the document certified by the by the registering authority | In hard copy | These documents are: * constituent documents
* Document confirming state registration of Non-resident Legal Entity;
* extract from the commercial register of the country of incorporation of the Non-Resident Legal Entity issued not more than six (6) months ago, or other equivalent document issued by the public authorities of that country
 |
| 2.3.6 | **Tax Certificate issued to international organization** confirming its registration with a tax authority in the Russian Federation | [Notarised copy](#_Нотариальная_копия_–)Copy of the document certified by the by the registering authority[Copy](#_Копия_–_документ,) | In hard copy | The certificate must contain the Taxpayer Identification Number (INN).If any. |
| 2.3.7 | **Signature and seal card (or, for credit institutions, a specimen signature book)/ Signature and seal card according to the form in Appendix 2 to the List / another document** containing specimen signatures and a seal impression, as issued in accordance with the laws of the relevant foreign jurisdiction | [Original](#_Оригинал_–_подлинник)[Notarised copy](#_Нотариальная_копия_–) | In hard copy | The signature of a person acting on behalf of the Non-Resident Legal Entity without a power of attorney, as well as persons acting under a power of attorney (if applicable), must be made in one of the following ways:1. a specimen signature on the signature and seal card in the form of Appendix 2 to the NSD List may be made in the presence of an NSD employee;
2. authenticity of a signature on the signature and seal card in accordance with the form in Appendix 2 to the List of NSD or any other document may be certified by a notary.

Where a document is produced:**in the Russian Federation**, it shall be produced in accordance with the Russian laws that govern notary activities;**in accordance with the laws of the relevant foreign jurisdiction**, it must contain the following details: Legal Entity name, Last Name and First Name, Specimen Signature, Seal Impression (if available), Issue Date, and Signature Certification.If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1, 2.2, Section 2 of the List).  |
| 2.3.8 | **Documents that support the authority** of the person acting on behalf of the Non-resident Legal Entity without a power of attorney*(e.g. minutes/decisions/orders of election (appointment) to office)* | [Original](#_Оригинал_–_подлинник)[Notarised copy](#_Нотариальная_копия_–)[Notarised extract](#_Нотариальная_выписка_–)[Extract from the document](#_Выписка_из_документа)[Copy](#_Копия_–_документ,) ([only for Non-Resident Legal Entities which are residents of jurisdictions listed in paragraph 2.2, Section 2 of the List](#_Легализация_документов_не)) | In hard copy |  |
| 2.3.9 | **Power of attorney** for persons entitled to:- sign the relevant documents on behalf of the Non-Resident Legal Entity. | [Original](#_Оригинал_–_подлинник)[Notarised copy](#_Нотариальная_копия_–) | In hard copy | The power of attorney must be certified by a notary or a competent authority (person) (this includes certifying the necessary powers of the giver of the power of attorney).The power of attorney must contain a specimen signature of the person to whom it has been issued, unless the documents under such power of attorney are signed in the presence of an NSD employee or the person acting under such power of attorney is represented by the documents specified in paragraph 2.3.7, Section 4 of the List.If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1, 2.2, Section 2 of the List). |
| 2.3.10 | **Documents certifying identity** of persons authorised to:- act on behalf of the Non-Resident Legal Entity without a power of attorney;- sign the relevant documents on behalf of the Non-Resident Legal Entity. | Original (for a certified copy made by an NSD employee if the authorised person is a resident of the Russian Federation and a Russian Federation citizen's passport is provided) [Notarised copy](#_Нотариальная_копия_–) Notarised extract | In hard copy | Foreign nationals/stateless persons shall also submit to NSD the documents referred to in paragraph 2.7, Section 2 of the List. |
| 3. | One of the following documents confirming, as at the Record Date, the number of Eurobonds: |
| 3.1 | Account statement for the purpose for prove of holding issued by the International Securities Depository  | Original[Notarised copy](#_Нотариальная_копия_–)  Notarised extract | In hard copy | The account statement must include the following details:1. the full name and business address of the International Securities Depository;
2. in respect of the person for whom the account statement is submitted: if an individual – surname and given name, details of an identity document and/or date of birth, and/or address of the place of registration, and/or other information that makes it possible to determine that the document was issued to the Holder of Eurobonds (in the absence of details of the identity document); if a legal entity – full name, address and/or OGRN/registration number;
3. the date on which information on the number of Eurobonds is provided (must coincide with the Record Date) or an indication of the period that includes the Record Date;
4. Eurobond parameters (ISIN code, name);
5. quantity of Eurobonds in units or in currency at face amount (FAMT);
6. information confirming that the Eurobond Holder is the owner of the Eurobonds or another person exercising rights under the Eurobonds; for individuals, this requirement is not mandatory.

The information required by paragraphs 2 and 6 may be absent from the account statement if a document (agreement, letter, other document) with the specified information, signed by an official of the International Securities Depository, is provided. This should be in one of the following forms: 1) original;2) notarised Copy;3) a document on paper medium, according to which a notary public of the Russian Federation or a notary public of a foreign country, in the course of securing evidence, has inspected the information located in the Internet, using the Holder of Eurobonds' account placed on the official website of the International Securities Depository, or using emails from the Holder of Eurobonds' email boxes (email addresses) received from the relevant International Securities Depository (hereinafter referred to as the Record of review of the account or emails from the International Securities Depository).To confirm the information specified in paragraph 6), an extract from the trade register of the country of incorporation of the legal entity may be provided, which complies with the requirements of paragraph 2.1 of Section 2 of the List. This extract should contain information on the types of activities carried out by the Eurobond Holder (in order to establish whether there are any conditions relating to the record-keeping or custody of assets for the benefit of other persons). In the event that the above documents **cannot be provided**, a link to the website of the relevant financial regulator of the country where the legal entity is incorporated may be submitted, which provides publicly available information on all licensed securities market participants[[3]](#footnote-4).  |
| 3.2 | SWIFT МТ 535 message (Statement of Holdings), submitted by the International Securities Depository to its client (applicable to legal entities members of SWIFT messaging exchange | 1. A paper document in respect of which a notary or competent authority (person) of a foreign state has certified the fact of its equivalence to an electronic document;
2. a document on paper medium, according to which a notary of the Russian Federation or a notary of other state in order to provide evidence has inspected information in the Internet, using the account of the Eurobond Holder in the on the official website of the ISD/ International Broker;
3. a copy of the document, in respect of which the Eurobond Holder - a credit organisation or a non-credit financial organisation regulated by the Bank of Russia, whose Eurobond rights are registered in the International Securities Depository, has certified its equivalence to an electronic document[[4]](#footnote-5) (with the attachment of a copy of the statements (extracts from the statements) sent to the Bank of Russia as of the last reporting date preceding the Record Date, containing information on such Eurobonds, with a mark of the Bank of Russia on its acceptance/copy of the electronic message confirming it). Such copies must be certified by the sole executive body of such entity or other authorised person whose powers are confirmed by a notarised power of attorney or provided electronically (if an EDI Agreement is available).
 | In hard copy | The document must include the following details:1. SWIFT BIC of the sender of the message;
2. the SWIFT BIC code of the legal entity for which the document is provided;
3. the date on which details of the number of Eurobonds are provided (must be the same as the Record Date);
4. Eurobond parameters (ISIN code);
5. quantity of Eurobonds in units or in currency at face amount (FAMT);
6. account number for recording Eurobonds with the International Securities Depository.

An additional document, signed by an Authorised Official of the ISD, must be submitted to confirm that the Eurobond Holder is the owner of the Eurobonds or another party exercising rights under them (an Original, Notarised Copy, a Record of review of the account or emails from the International Securities Depository). To confirm the above information, an extract from the trade register of the country of incorporation of the legal entity may be provided, which complies with the requirements of paragraph 2.1 of Section 2 of the List. This extract should contain information on the types of activities carried out by the Eurobond Holder (in order to establish whether there are any conditions relating to the record-keeping or custody of assets for the benefit of other persons). In the event that the above documents **cannot be provided**, a link to the website of the relevant financial regulator of the country where the legal entity is incorporated may be submitted, which provides publicly available information on all licensed securities market participants[[5]](#footnote-6). |
| 3.3 | **Report/account statement by an International Broker** who keeps records of securities and transfers rights to securities (combining brokerage and depository activities) | Original[Notarised copy](#_Нотариальная_копия_–)  Notarised extract | In hard copy | The report/account statement must include the following details:1. International Broker's full name and physical address;
2. in respect of the person for whom the document is submitted: if an individual – surname and given name, details of an identity document and/or date of birth, and/or address of the place of registration, and/or other information that makes it possible to determine that the document was issued to the Holder of Eurobonds (in the absence of details of the identity document); if a legal entity – full name, address and/or OGRN/registration number;
3. the date on which information on the number of Eurobonds is provided (must coincide with the Record Date) or an indication of the period that includes the Record Date;
4. Eurobond parameters (ISIN code, name);
5. quantity of Eurobonds in units or in currency at face amount (FAMT);
6. details confirming that the Eurobond Holder is the owner of the Eurobonds or another person exercising rights under the Eurobonds; for individuals, this requirement is not mandatory;
7. details to confirm that the Eurobonds held by the person named in the account statement are not being utilised by the International Broker and are held in the broker's account with an international organisation.

In the case where the report/account statement indicates a period that includes the Record Date and during which no trades/transactions were conducted with the Eurobonds, documentary evidence must be provided to confirm the continuous ownership of Eurobonds for the period, attesting that the International Broker kept records of the rights to these Eurobonds and did not have the right to use the Eurobonds. The information required by paragraphs 2, 5 and 6 may be absent from the document (report/statement) if a document (agreement, letter, other document) with the specified information, signed by an official of the International Broker, is provided. This should be in one of the following forms: 1) Original;2) Notarised Copy;3) a document on paper medium, according to which a notary public of the Russian Federation or a notary public of a foreign country, in the course of securing evidence, has inspected the information located in the Internet, using the Holder of Eurobonds' account placed on the official website of the International Broker, or using emails from the Holder of Eurobonds' email boxes (email addresses) received from the relevant International Broker (hereinafter referred to as the Record of review of the account or emails from the International Broker).To confirm the information specified in paragraph 6), an extract from the trade register of the country of incorporation of the legal entity may be provided, which complies with the requirements of paragraph 2.1 of Section 2 of the List. This extract should contain information on the types of activities carried out by the Eurobond Holder (in order to establish whether there are any conditions relating to the record-keeping or custody of assets for the benefit of other persons). In the event that the above documents **cannot be provided**, a link to the website of the relevant financial regulator of the country where the legal entity is incorporated may be submitted, which provides publicly available information on all licensed securities market participants[[6]](#footnote-7). If the data provided for in paragraph 7) is not available, one of the following documents shall be submitted to confirm that the International Broker does not have the right to dispose the Eurobonds:- a contract with the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker);- another document confirming the terms of the contract concluded with the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker);- another document provided by the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker). |
| 3.4 | Message from the bank-client system or from other electronic document transmission service of the International Securities Depository/International Broker that records and transfers rights to securities (combining brokerage and depository business) | 1. A paper document in respect of which a notary or competent authority (person) of a foreign state has certified the fact of its equivalence to an electronic document;
2. a document on paper medium, according to which a notary of the Russian Federation or a notary of other state in order to provide evidence has inspected information in the Internet, using the account of the Eurobond Holder in the on the official website of the ISD/ International Broker;
3. a copy of the document, in respect of which the Eurobond Holder - a credit organisation or a non-credit financial organisation regulated by the Bank of Russia, whose Eurobond rights are registered in the International Securities Depository, has certified its equivalence to an electronic document[[7]](#footnote-8) (with the attachment of a copy of the statements (extracts from the statements) sent to the Bank of Russia as of the last reporting date preceding the Record Date, containing information on such Eurobonds, with a mark of the Bank of Russia on its acceptance/copy of the electronic message confirming it). Such copies must be certified by the sole executive body of such entity or other authorised person whose powers are confirmed by a notarised power of attorney or provided electronically (if an EDI Agreement is available).
 | In hard copy | The document must include the following details:1. details allowing to identify the International Securities Depository / International Broker;
2. in respect of the person for whom the account statement is submitted: if an individual – surname and given name, details of an identity document and/or date of birth, and/or address of the place of registration, and/or other information that makes it possible to determine that the document was issued to the Holder of Eurobonds (in the absence of details of the identity document); if a legal entity – full name, address and/or OGRN/registration number;
3. the date on which information on the number of Eurobonds is provided (must coincide with the Record Date) or an indication of the period that includes the Record Date;
4. Eurobond parameters (ISIN code);
5. quantity of Eurobonds in units or in currency at face amount (FAMT);
6. account number for recording Eurobonds with the International Securities Depository/International Broker;
7. details confirming that the Eurobond Holder is the owner of the Eurobonds or another person exercising rights under the Eurobonds; for individuals, this requirement is not mandatory;
8. details to confirm that the Eurobonds held by the person named in the account statement are not being utilised by the International Broker and are held in the broker's account with an international organisation.

In the case the document is provided by an International Broker and indicates a period that includes the Record Date and during which no trades/transactions were conducted with the Eurobonds, documentary evidence must be provided to confirm the continuous ownership of Eurobonds for the period, attesting that the International Broker kept records of the rights to these Eurobonds and did not have the right to use the Eurobonds. The information required by paragraphs 2, 5-7 may be absent from the account statement if a document (agreement, letter, other document) with the specified information, signed by an official of the International Securities Depository/International Broker, is provided. This should be in one of the following forms: 1) Original;2) Notarised Copy;3) Record of review of the account or emails from the ISD/International Broker.To confirm the information specified in paragraph 7), an extract from the trade register of the country of incorporation of the legal entity may be provided, which complies with the requirements of paragraph 2.1 of Section 2 of the List. This extract should contain information on the types of activities carried out by the Eurobond Holder (in order to establish whether there are any conditions relating to the record-keeping or custody of assets for the benefit of other persons). In the event that the above documents **cannot be provided**, a link to the website of the relevant financial regulator of the country where the legal entity is incorporated may be submitted, which provides publicly available information on all licensed securities market participants[[8]](#footnote-9). If the data provided for in paragraph 8) is not available, one of the following documents shall be submitted to confirm that the International Broker does not have the right to dispose the Eurobonds:- a contract with the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker);- another document confirming the terms of the contract concluded with the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker);- another document provided by the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker).  |
| 3.5 | Another document, available under existing circumstances, containing information on the number of Eurobonds recorded on the Eurobond Holder's Account | 1) Original;2) [Notarised Copy;](#_Нотариальная_копия_–)3) Notarised Extract;4) a document on paper medium, according to which a notary of the Russian Federation or a notary of other state in order to provide evidence has inspected information in the Internet, using the account of the Eurobond Holder in the on the official website of the ISD/ International Broker. | In hard copy | The document must include the following details:1. in respect of the person for whom the account statement is submitted: if an individual – surname and given name, details of an identity document and/or date of birth, and/or address of the place of registration, and/or other information that makes it possible to determine that the document was issued to the Holder of Eurobonds (in the absence of details of the identity document); if a legal entity – full name, address and/or OGRN/registration number;
2. the date on which information on the number of Eurobonds is provided (must coincide with the Record Date) or an indication of the period that includes the Record Date;
3. Eurobond parameters (ISIN code);
4. quantity of Eurobonds in units or in currency at face amount (FAMT);
5. account number for recording Eurobonds with the International Securities Depository/International Broker;
6. details confirming that the Eurobond Holder is the owner of the Eurobonds or another person exercising rights under the Eurobonds; for individuals, this requirement is not mandatory;
7. details to confirm that the Eurobonds held by the party specified in the account statement are not utilised by the International Broker and are held in the broker's account with an international organisation (which is applicable if the document is provided by the International Broker).

In the case the document is provided by an International Broker and indicates a period that includes the Record Date and during which no trades/transactions were conducted with the Eurobonds, documentary evidence must be provided to confirm the continuous ownership of Eurobonds for the period, attesting that the International Broker kept records of the rights to these Eurobonds and did not have the right to use the Eurobonds.The information required by paragraphs 1), 4) and 6) may be absent from the document if a document (agreement, letter, other document) with the specified information, signed by an official of the International Securities Depository/International Broker, is provided. This should be in one of the following forms: 1) Original;2) Notarised Copy;3) Record of review of the account or emails from the ISD/International Broker.To confirm the information specified in paragraph 6), an extract from the trade register of the country of incorporation of the legal entity may be provided, which complies with the requirements of paragraph 2.1 of Section 2 of the List. This extract should contain information on the types of activities carried out by the Eurobond Holder (in order to establish whether there are any conditions relating to the record-keeping or custody of assets for the benefit of other persons).  In the event that the above documents **cannot be provided**, a link to the website of the relevant financial regulator of the country where the legal entity is incorporated may be submitted, which provides publicly available information on all licensed securities market participants[[9]](#footnote-10). If the data provided for in paragraph 7) is not available, one of the following documents shall be submitted to confirm that the International Broker does not have the right to dispose the Eurobonds:- a contract with the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker);- another document confirming the terms of the contract concluded with the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker);- another document provided by the International Broker (Original or Copy, or Record of review of the account or emails from the International Broker). |
| 4. | A document issued by an International Securities Depository specifying the custody scheme for the Eurobonds, including the International Securities Depository/International Broker keeping records of the rights to the Eurobonds and all International Securities Depositaries in which the accounts of persons acting in the interests of other persons are opened, on which the rights to the Eurobonds are recorded, or other documents confirming the presence of the Eurobonds specified in paragraph 3, Section 4 of the List on the accounts of all International Securities Depositories/International Brokers which are involved in keeping record of the rights.In the event that the International Securities Depository meeting any of the criteria listed in the Note to paragraph 4 of Section 4 of the List refuses to issue the document, such a refusal must be submitted in writing. The refusal to issue the document must be addressed to the party whose account is held at that depository. In this scenario, a document or refusal to issue it from **other** International Securities Depositories/International Brokers, where rights to the Eurobonds are recorded, need not be submitted. | 1. Original;
2. Notarised Copy;
3. A paper document indicating that a notary of the Russian Federation or a notary of a foreign state, as part of the evidence preservation process, has performed an inspection of information on the Internet (including scanned copies of documents issued by the relevant International Securities Depository) including the use of email correspondence from the email accounts (email addresses) of the Eurobond Holder, received from the relevant International Securities Depository.
 | In hard copy | The document[[10]](#footnote-11) shall contain:1. the unambiguous identifier of each International Securities Depository under that person's personal law;
2. the date of the information provided (must coincide with the Record Date) or a period that includes the Record Date and during which no securities trades/transactions were conducted;
3. Eurobond parameters (ISIN code).

*Other documents* include[[11]](#footnote-12):- one or more documents issued by each of the International Securities Depositories/International Brokers keeping records of the rights to the Eurobonds, including the International Securities Depository that has provided the Eurobond Holder with the document provided for in paragraph 3, Section 4 of the List, as well as the International Securities Depository to which a foreign nominee securities account is opened with NSD;- **a document** signed by the International Securities Depository that has provided the Eurobond Holder with the document provided for in paragraph 3, Section 4 of the List, if such International Securities Depository meets any of the following criteria, or a **combination of documents**: one or more documents issued by one or more International Securities Depository(s) keeping records of rights to the Eurobonds (including the International Securities Depository that has provided the Eurobond Holder with the document provided for in paragraph 3, Section 4 of the List) and a document specifying the remaining custody scheme for the Eurobonds, signed by a International Securities Depository maintaining records of rights over the Eurobonds and meeting any of the following criteria:a) is a legal entity in respect of which a credit institution or a non-credit financial institution regulated by the Bank of Russia, by virtue of its participation in that legal entity or in accordance with the powers received, including on the basis of a written agreement, from other persons, has more than 50 (fifty) per cent of the total number of votes attributable to voting shares (stakes) in the charter (share) capital of that legal entity[[12]](#footnote-13);b) is included in the list of foreign organisations meeting the criteria established by the Bank of Russia's Instruction No. 5311-U dated 11 November 2019 posted on the official website of the Bank of Russia;c) has a rating from one of Fitch-Ratings or Standard & Poor's or Moody's Investors Service[[13]](#footnote-14) (provided that this information is available to NSD or is included in the documents submitted by the Eurobond Holder);d) is: * a central securities depository;
* a client of an International Securities Depository that is a member of international settlement and clearing organisations or is a central depository, and the information about it is placed on the official website of such International Securities Depository[[14]](#footnote-15);
* a client of the ISD that holds a foreign nominee securities account with NSD. Information that the ISD is a client of the ISD which has the foreign nominee securities account with NSD shall be made available in one of the following ways:
1. it is posted on the website of the ISD which has the foreign nominee securities account with NSD;
2. it is contained in the documents submitted by such ISD which has the foreign nominee securities account with NSD;
3. it is contained in the set of documents submitted by the Eurobond Holder.

If a centralised record-keeping for the Eurobonds is maintained by the ISD, a document defining the custody scheme for the Eurobonds on the accounts of all ISDs/International Brokers is drawn up and signed by the Holder itself in the following cases:1) if Restrictions are imposed in respect of the person on whose account the information is provided (including in connection with the imposition of Restrictions in respect of persons holding directly or indirectly, solely or in the aggregate, more than 50 (fifty) per cent of the votes in the supreme governing body of such person and (or) on other grounds);2) if the rights to Eurobonds are accounted for by the International Securities Depository where the account specified in the document on the ownership of Eurobonds provided for by paragraph 3, Section 4 of the List is opened and there are no other International Securities Depositories involved in keeping records of the rights to Eurobonds;3) If the International Securities Depository meeting any of the above criteria issues a refusal to provide the document specified in paragraph 4 of Section 4 of the List.In the scenarios outlined in paragraphs 1), 2) or 3), the document specifying the custody scheme of the Eurobonds on the accounts of all International Securities Depositories / International Brokers need not be submitted by the Eurobond Holder if the central securities depository does not provide centralised record-keeping of ownership for the Eurobonds.  |
| 5. | Written waiver by a Eurobond Holder of all potential future complaints  | Original | In hard copy | Submitted in NSD's form agreed with the Ministry of Finance of the Russian Federation |
| 6. | Information (documents) required for withholding tax |  |  | To be provided in accordance with List 1 of Information (Documents) Necessary for Tax Withholding |
| 7. | Documents confirming that replacement has not taken place in accordance with Decree No. 677 regarding the Eurobonds specified in the Application | 1) Original;2) [Notarised Copy;](#_Нотариальная_копия_–)3) Notarised Extract;4) a document on paper medium, according to which a notary of the Russian Federation or a notary of other state in order to provide evidence has inspected information in the Internet, using the account of the Eurobond Holder in the on the official website of the ISD / International Broker. | In hard copy | In the case where the Eurobond Holder has been included in the Eurobond Holder Registry to participate in the replacement under Decree No. 677, the documents must be submitted to confirm that no replacement has taken place in accordance with Decree No. 677 regarding the Eurobonds specified in the Application.The documents confirming the following details may also be submitted if applicable:- the date of acquisition of the Eurobonds after 12 September 2024;- the excess of the number of Eurobonds on the Holder's securities account as of 12 September 2024 over the number of Eurobonds for which replacement was made under Decree No. 677;- Eurobonds held on another securities account of the Eurobond Holder as of 12 September 2024, other than the one declared under Decree No. 677. |

# Documents provided by a Russian depositary confirming the rights of Holders of Russian Federation Eurobonds, the rights to which are recorded with the involvement of the Russian depositary, and to which an account of a person acting for the benefit of others (hereinafter referred to as the "Russian depository's account with the International Securities Depository") is opened in the International Securities Depository, in which the Eurobonds are recorded, and which has not provided NSD upon its request with information on the total number of Eurobonds registered on such account as of the Record Date, as well as documents provided by the Russian Depository to which a Russian Depository Holder's Account is opened with the International Securities Depository (the "Russian Depository Holder's Account") and which has not provided NSD upon its request with information on the total number of Eurobonds registered on such account as of the Record Date:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Document name | Permitted forms | Permitted submission methods | Notes |
| 1. | The total number of Eurobonds, which are kept by Russian depositories on the accounts of the Russian depository in the International Securities Depository and/or accounts of the owner of the Russian depository, which was not provided upon request of the central depository. | Original | Electronically(if the EDI Agreement is available) In hard copy (if the EDI Agreement is not available) | To be provided in respect of each Eurobond payment only in respect of Eurobonds, information on which was not provided earlier upon request of the central securities depository. |
| 2. | Documents identifying the person confirming the rights to the Eurobonds (unless such documents were previously submitted to NSD) |
| 2.1. | **Documents submitted by Resident Legal Entities (if not previously submitted to NSD)** |
| 2.1.1. | **Details Form АА001** (Appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) | The authenticity of the signature of a person acting on behalf of the Non-Resident Legal Entity must be notarised or a specimen signature of such person must be executed in the presence of a NSD employee.This requirement does not apply if the signature is notarised or a specimen signature is executed in the presence of a NSD employee in another document submitted by the Non-Resident Legal Entity to NSD in accordance with the List. If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1 and 2.2, Section 2 of the List). |
| 2.1.2. | **Details Form АА101** (Appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) |  |
| 2.1.3. | **Details Form АА106** (Appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) | To be submitted if there is an individual beneficial owner and/or beneficiary whose details are shown on the Details Form AA101. A separate Details Form AA106 has to be submitted for each individual beneficiary. |
| 2.1.4. | **Details Form АА107** (an appendix to the Application) | Original | In hard copyElectronically(if the EDI Agreement is available) | To be submitted individually for each corporate beneficiary whose details appear on Details Form AA101. A separate Details Form AA107 has to be submitted for each individual beneficiary. |
| 2.1.5 | The Resident Legal Entity's **constituent documents** complying with the requirements set out in the Russian laws for forms of business entities, as amended and supplemented as of the date of submission to NSD | [Notarised copy](#_Нотариальная_копия_–)Copy of the document certified by the by the registering authority | In hard copy | Legal entities whose legal status, purposes of establishment and business are determined by interstate and intergovernmental agreements, legislative or regulatory legal acts, shall submit copies of such documents certified by an authorised person of the entity. |
| [Copy](#_Копия_–_документ,) (including a copy of the document received via the Personal Account of the Bank of Russia/FTS) | Electronically(if the EDI Agreement is available) |
| 2.1.6 | A document containing specimen signatures and a seal impression (a card/power of attorney containing a specimen signature of the person to whom it is issued/manager's card/signature and stamp card in the form of Attachment 2 to NSD's List) | [Original](#_Оригинал_–_подлинник)[Notarised copy](#_Нотариальная_копия_–) | In hard copy | The signature of a person acting on behalf of the Non-Resident Legal Entity without a power of attorney, as well as persons acting under a power of attorney (if applicable), must be made in one of the following ways:1. a specimen signature on the signature and seal card in the form of Appendix 2 to the NSD List may be made in the presence of an NSD employee;
2. the authenticity of the signature on the card/power of attorney containing the specimen signature of the person to whom it is issued/manager's card/ signature and stamp card in the form of Appendix 2 to the NSD's List may be notarised.

If the signature is authenticated in a foreign country, the document must be legalised in accordance with the established procedure (paragraphs 2.1 and 2.2, Section 2 of the List). |
| 2.1.7 | **Documents that support the authority** of the person acting on behalf of the Resident Legal Entity without a power of attorney*(e.g. minutes/decisions/orders of election (appointment) to office)* | [Original](#_Оригинал_–_подлинник)[Notarised copy](#_Нотариальная_копия_–)[Notarised extract](#_Нотариальная_выписка_–_1)[Extract from the document](#_Выписка_из_документа_1) | In hard copy | Adoption by the general meeting of shareholders of the decision at the meeting and the composition of shareholders of the company present at that moment shall be confirmed in accordance with the procedure established by Article 67.1 of the Civil Code of the Russian Federation.At the same time, if the Charter of a limited liability company (Obshchestvo s Ogranichennoy Otvetstvennostyu, OOO) does not provide for an alternative (non-notarial) method of confirming decision-making, the decision of the sole participant/general meeting of participants of OOO on an alternative (non-notarial) method of confirming decision-making by the sole participant/general meeting requires notarial certification.Provision of a document confirming the powers of a person acting on behalf of the entity without a power of attorney is not required in case of revocation of the banking licence of a Resident Legal Entity which is a credit institution and appointment of a temporary administration. |
| [Copy](#_Копия_–_документ,) | In hard copyElectronically(if the EDI Agreement is available) |
| 2.1.8 | **Documents certifying identity** of persons authorised to:- act on behalf of the Resident Legal Entity without a power of attorney; - sign the relevant documents on behalf of the Resident Legal Entity. | [original](#_Оригинал_–_подлинник) (to be made and certified by NSD's employee) [Notarised copy](#_Нотариальная_копия_–)Notarised extract | In hard copy | Foreign nationals/stateless persons shall also submit to NSD the documents referred to in paragraph 2.7, Section 2 of the List. |
| [Copy](#_Копия_–_документ,) | Electronically(if the EDI Agreement is available) | Only a copy made from a Notarised Copy of the document can be provided electronically. |
| 2.1.9 | **Power of attorney** in the name of persons authorised to sign relevant documents on behalf of the Resident Legal Entity.  | [Original](#_Оригинал_–_подлинник) | In hard copyElectronically(if the EDI Agreement is available) | Only a power of attorney for signing electronic documents in NSD's EDI System may be submitted in electronic form.The power of attorney must contain a specimen signature of the person to whom it has been issued, unless the documents under such power of attorney are signed in the presence of an NSD employee or the person acting under such power of attorney is represented by the documents specified in paragraph 2.2.6, Section 4 of the List. |
| [Notarised copy](#_Нотариальная_копия_–) | In hard copy |
| 3. | Eurobond Custody Scheme which keeps details of all International Securities Depositories and/or International Brokers, as well as Russian Depositories involved in keeping records of the rights to Eurobonds, issued by any of these entities | Original | Electronically(if the EDI Agreement is available) In hard copy (if the EDI Agreement is not available) | The document should contain information on all International Securities Depositories and/or International Brokers, as well as Russian Depositories involved in keeping records of the rights to Eurobonds.  |
| 4. | Information provided by a Russian depository which holds a Russian depository account with an International Securities Depository or an account of the Russian depository owner and which has not reported in full the total number of Eurobonds to the central depository upon its request, indicating that information on the number of Eurobonds has not been provided by such depository upon the central depository's request. | Original | Electronically(if the EDI Agreement is available) In hard copy (if the EDI Agreement is not available) | The document must include the following details:1. full name and actual address of the Russian depository which did not provide/provided incompletely the central depository with information on the total number of Eurobonds upon its request;
2. Eurobond parameters (ISIN code, name);
3. the number of Eurobonds in units, information on the number of which was not provided to the central securities depository upon its request within the period specified in the request;
4. if the total number of Eurobonds was reported to the central securities depository upon its request within the period specified in the request, it is necessary to specify information on the date of provision of such information and the number of the instruction under which such information was provided.
 |

Appendix 1

**LIST No. 1:Information (Documents) Required for Withholding Tax**

(Applying to Payments on Government and Municipal Bonds, as well as Eurobonds of the Russian Federation)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Document name | Permitted forms | Permitted submission methods | Notes |
| **1.  Individuals-Russian tax residents (Russian and foreign citizens)** |
| 1.1 | ID documents  | Original (for an NSD's employee to make and certify a copy) [Notarised Copy](#_Нотариальная_копия_–) (all pages) | In hard copy |  |
| **2. Individuals who are not Russian tax residents (foreign citizens, persons without citizenship, and citizens of the Russian Federation without confirmation of their Russian tax residency status** |
| 2.1. | ID documents  | Original (for an NSD's employee to make and certify a copy) [Notarised Copy](#_Нотариальная_копия_–) (all pages) | In hard copy |  |
| 2.2 | Certificate of tax residency | Original[Notarised copy](#_Нотариальная_копия_–)(for a Certificate of Russian Federation Tax Residency) |  | To be issued in accordance with the Certificate of Tax Residency Procedure (\*)  The document must be legalised in accordance with the established procedure (paragraphs 2.1 and 2.2, Section 2 of the List). |
| 2.3 | Confirmation that the recipient of the payout is / is not the beneficial owner of the income in the form approved by NSD | Original | In hard copy | The confirmation must be provided in the form of a Certification letter of beneficial ownership of income / Certification letter of no beneficial ownership of income, available on the NSD website.If the letter is signed on the basis of a power of attorney, the power of attorney granting corresponding authority must be submitted.  |
| **3. International entities\*\*** |
| 3.1 | Confirmation that the recipient of the payout is / is not the beneficial owner of income in the form approved by NSD | Original | In hard copy | The confirmation must be provided in the form of a certification letter of beneficial ownership of income of the international organisation and the exclusion of the right to such income for individual tax residents of the Russian Federation / certification letter of no beneficial ownership of income, available on the NSD website.If the letter is signed on the basis of a power of attorney, the power of attorney granting corresponding authority must be submitted. |
| **4. Foreign structures which relate to the collective investment schemes** |
| 4.1 | Tax disclosure of investors, actual beneficiaries of income (according to NSD's form) | Original | In hard copy | To be signed by an authorised signatory |
| 4.2 | For individuals and international entities who are investors, beneficial owners of income, documents required under paragraphs 1-3 of LIST No. 1: Information (Documents) Required for Withholding Tax must be submitted (as appropriate) |  |  |  |

\***Certificate of Tax Residency Procedure**

**Certificate of Russian Federation Tax Residency**

The certificate of tax residency for Russian tax non-residents is issued by a foreign competent authority and shall meet the following criteria:

* It shall contain the following or a closely related wording: ̶ a certificate must bear the following phrase (or a phrase close to it): "It is certified that \_\_\_\_ (name of organization) is a resident of (state) over a period of (period of time) within the meaning of the Treaty (name of the international treaty) between the Russian Federation/USSR and (foreign state)". In Russian: «Подтверждается, что \_\_\_ является в течение (указывается период) лицом с постоянным местопребыванием в (указывается государство) в смысле Соглашения (указывается наименование международного договора) между Российской Федерацией/СССР и (указывается иностранное государство)»;
* ̶a certificate must indicate a definite validity period or bear a date of the year in which the income is paid (in this case, the validity period will be the calendar year of issue);
* ̶a certificate must be sealed (stamped) by a competent body (or its authorised body) within the meaning of the respective double taxation treaty and completed with a signature of an authorised official of that body.

If a foreign authority/official, which is an authorised authority/official as defined in the relevant treaty, has delegated its powers to another authority(-ies)/individual(-s), the taxpayer should provide supporting information to prove such delegation.

**Certificate of Russian Federation Tax Residency**

The Certificate of Russian Federation Tax Residency in hard copy is issued by the Interregional Inspectorate of the Federal Tax Service of Russia for Centralised Data Processing (form КНД 1120008). Original electronic certificate document is issued via the tax residency certification on-line service of the Federal Tax Service «Подтверждение статуса налогового резидента Российской федерации» [https://service.nalog.ru/nrez/.](https://service.nalog.ru/nrez/) Original copies may be issued without any limit to their number. The certificate is issued by the Interregional Inspectorate of the Federal Tax Service of Russia for Centralised Data Processing for the current year not earlier than 3 July on the application/request of the individual.

\*\* International entities shall mean international legal entities, companies and other corporate entities with civil legal capacity, established in accordance with the legislation of foreign states, as well as international organisations, and branches and representative offices of the aforementioned international entities and international organisations, established on the territory of the Russian Federation.

Приложение 2

**Уведомление**

**о направлении дополнительных документов (сведений) к ранее направленному Заявлению**

Направляю в НКО АО НРД дополнительные документы (сведения) к ранее направленному Заявлению от \_\_\_\_\_\_\_\_\_\_№ \_\_\_\_\_\_\_\_\_:

 *(при наличии)[[15]](#footnote-16)*

|  |  |  |
| --- | --- | --- |
|  | ISIN код Еврооблигаций |  |
|  | Дата фиксации |  |
|  | Полное наименование/ФИО Держателя Еврооблигаций |  |
| **При предоставлении документов в случае наличия Ограничений** |
|  | Вид Ограничения  | * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении** **Держателя Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей)** **лица,** **являющегося Держателем Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей) лица, являющегося Держателем Еврооблигаций: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении территории регистрации (гражданства) Держателя Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование территории регистрации (гражданства) Держателя Еврооблигаций: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
|  | Дата введения Ограничения (с указанием даты и номера решения, если применимо) |  |
|  | Ссылки на официальный источник и (или) официальный сайт органа/ организации, принявшего решение о введении Ограничений |  |
| **Информация о передаче сведений о выплатах иностранному депозитарию, указанному в документах, предоставленных Держателем Еврооблигаций, в качестве вышестоящего в цепочке депозитарного учета[[16]](#footnote-17)** |
|  | Прошу передать Иностранному депозитарию, **указанному в предоставленных мною в НКО АО НРД документах, в качестве вышестоящего в цепочке депозитарного учета,** информацию о факте осуществления мне выплаты по Еврооблигациям с указанием схемы хранения Еврооблигаций, включая Иностранный депозитарий, осуществляющий учет прав на Еврооблигации, и все Иностранные депозитарии, в которых открыты счета лиц, действующих в интересах других лиц, на которых учитываются права на Еврооблигации на Дату фиксации. | * ДА
* НЕТ
 |
|  | Перечень прилагаемых документов: |
|  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(наименование/ФИО) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(дата) |

Приложение 2.1

**Уведомление**

**о направлении дополнительных документов (сведений) к ранее направленному Заявлению/**

**Notification of additional documents (information) to the previously sent Application**

Направляю в НКО АО НРД дополнительные документы (сведения) к ранее направленному Заявлению от \_\_\_\_\_\_\_\_\_\_№ \_\_\_\_\_\_\_\_\_:

 *(при наличии[[17]](#footnote-18))*

/We hereby submit the following additional documents (information) to NSD to the previously sent Application dated \_\_\_\_\_\_ № \_\_\_\_\_\_\_\_\_

 *(if any)*

|  |  |  |
| --- | --- | --- |
|  | ISIN код Еврооблигаций/ ISIN for Eurobond |  |
|  | Дата фиксации/ Record date  |  |
|  | Полное наименование/ФИО Держателя Еврооблигаций/Full name / name, surname of the Eurobond Holder |  |
| **При предоставлении документов в случае наличия Ограничений/ When submitting documents in case of Restrictions** |
|  | Вид Ограничения/ Type of Restriction | * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении** **Держателя Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of the Eurobond Holder**

*Наименование иностранного государства/ международной организации/иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей)** **лица,**  **являющегося Держателем Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of a person owning directly or indirectly, solely or in the aggregate 50 (fifty) or more percent of the shares (interests)** **of a person being the Eurobond Holder**

*Наименование иностранного государства/ международной организации/иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей) лица, являющегося Держателем Еврооблигаций/ Name of the person holding directly or indirectly, solely or in the aggregate 50 (fifty) or more percent of the shares (interests)* *of a person being the Eurobond Holder:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении территории регистрации (гражданства) Держателя Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of the territory (citizenship) of the Eurobond Holder**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование территории регистрации (гражданства) Держателя Еврооблигаций/ Territory of registration (citizenship) of the Eurobond Holder*: *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
|  | Дата введения Ограничения (с указанием даты и номера решения, если применимо)/The date of introduction of the Restriction (indicating the date of the decision and its number, if applicable) |  |
|  | Ссылки на официальный источник и (или) официальный сайт органа/ организации, принявшего решение о введении Ограничений/ Links to the official source and (or) official website of the body/organization that made the decision to impose the Restrictions |  |
| **Информация о передаче сведений о выплатах иностранному депозитарию, указанному в документах, предоставленных Держателем Еврооблигаций, в качестве вышестоящего в цепочке депозитарного учета / Information on transfer of information on payments to the foreign depositary indicated in the documents submitted by the Eurobond Holder as superior in the chain of depository accounting[[18]](#footnote-19)**  |
|  | Прошу передать Иностранному депозитарию, указанному в предоставленных мною в НКО АО НРД документах, в качестве вышестоящего в цепочке депозитарного учета информацию о факте осуществления мне выплаты по Еврооблигациям с указанием схемы хранения Еврооблигаций, включая Иностранный депозитарий, осуществляющий учет прав на Еврооблигации, и все Иностранные депозитарии, в которых открыты счета лиц, действующих в интересах других лиц, на которых учитываются права на Еврооблигации на Дату фиксации / I request that the Foreign Securities Depository, specified in the documents I have submitted to NSD as a superior in the custody chain be provided with information on the fact that the Eurobond Payment was made to me, indicating the scheme of custody of the securities, including the Foreign Depository which keeps records of the rights to the Eurobonds and all Foreign Depositories where accounts of persons acting for the benefit of other persons are opened, where the rights to the Eurobonds are recorded as of the Record Date.  | * ДА/ YES
* НЕТ/ NO
 |
|  | Перечень прилагаемых документов/List of the documents attached to: |
|  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(наименование/ФИО)/(position/full name) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись)/(signed) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(дата)/(date) |

Приложение 3

**Уведомление**

**о направлении дополнительных документов (сведений) к Списку Иностранного депозитария**

Направляю в НКО АО НРД документы в дополнение **к Списку Иностранного депозитария**:

|  |  |  |
| --- | --- | --- |
|  | ISIN код Еврооблигаций |  |
|  | Вид выплаты по Еврооблигациям | * Купонный (процентный) доход
* Номинальная стоимость
* Частичная номинальная стоимость
 |
|  | Дата фиксации |  |
|  | Дата выплаты |  |
|  | Количество Еврооблигаций (в штуках)[[19]](#footnote-20) |  |
|  | Полное наименование Иностранного депозитария, который предоставил в НКО АО НРД сведения о Держателе Еврооблигаций  |  |
|  | Полное наименование и адрес Иностранного депозитария, который предоставляет в НКО АО НРД документы в соответствии с Уведомлением (если применимо)  |  |
| **Сведения, позволяющие идентифицировать Держателя Еврооблигаций** |
|  | Полное наименование/ФИО Держателя Еврооблигаций |  |
|  | Наименование документа, удостоверяющего личность физического лица / регистрационного документа юридического лица |  |
|  | Серия и/или номер документа, удостоверяющего личность физического лица/регистрационный номер юридического лица |  |
|  | Дата выдачи документа, удостоверяющего личность физического лица/дата регистрации в качестве юридического лица |  |
|  | Адрес места жительства (регистрации) физического лица/ адрес местонахождения юридического лица |  |
|  | Адрес электронной почты для направления уведомлений (e-mail) |  |
|  | Контактный телефон |  |
| **Держатель Еврооблигаций является иностранной структурой, относящейся к схемам коллективного инвестирования** |
|  | Возможные значения | * ДА
* НЕТ
 |
| **При предоставлении документов в случае наличия Ограничений** |
|  | Вид Ограничения  | * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении** **Держателя Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей)** **лица,** **являющегося Держателем Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей) лица, являющегося Держателем Еврооблигаций: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении территории регистрации (гражданства) Держателя Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование территории регистрации (гражданства) Держателя Еврооблигаций: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
|  | Дата введения Ограничения (с указанием даты и номера решения, если применимо) |  |
|  | Ссылки на официальный источник и (или) официальный сайт органа/ организации, принявшего решение о введении Ограничений |  |
| **Банковские реквизиты Держателя Еврооблигаций для перечисления выплат по Еврооблигациям в иностранной валюте** (выплата по Еврооблигациям в иностранной валюте осуществляется в порядке, предусмотренном Указом, с 15.12.2023) |
|  | 19.1. Наименование и адрес получателя 19.2. Номер счета получателя 19.3. Наименование и SWIFT код Банка получателя19.4. Номер корреспондентского счета Банка получателя в банке корреспонденте 19.5. Наименование и SWIFT код банка корреспондента*В реквизитах на перевод денежных средств должен присутствовать банк страны/зоны эмитента валюты платежа (например, банк «еврозоны», в случае валюты перевода EUR или американский банк, в случае перевода в USD).*  |  |
|  | Перечень прилагаемых документов: |
|  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(наименование/ФИО) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(дата) |

Приложение 3.1

**Уведомление**

**о направлении дополнительных документов (сведений) к Списку Иностранного депозитария/**

**Notification of additional documents (information) to the List of International Securities Depository**

Направляю в НКО АО НРД документы в дополнение **к Списку Иностранного депозитария:/**We hereby submit the following documents (information) to NSDin addition **to the List of** **International Securities Depository**:

|  |  |  |
| --- | --- | --- |
|  | ISIN код Еврооблигаций/ ISIN for Eurobond |  |
|  | Вид выплаты по Еврооблигациям/ Type of payment on Eurobond | * Купонный (процентный) доход/ Coupon (interest) yield
* Номинальная стоимость/ Face value
* Частичная номинальная стоимость/ Partial face value
 |
|  | Дата фиксации/ Record date |  |
|  | Дата выплаты/ Payout date |  |
|  | Количество Еврооблигаций (в штуках)[[20]](#footnote-21)/ Number of Eurobonds (in units of security)[[21]](#footnote-22) |  |
|  | Полное наименование Иностранного депозитария, который предоставил в НКО АО НРД сведения о Держателе Еврооблигаций / Full name of the Foreign depository who has provided NSD with information on the Eurobond Holder  |  |
|  | Полное наименование и адрес Иностранного депозитария, которое предоставляет в НКО АО НРД документы в соответствии с Уведомлением (если применимо)/Full name and address of the Foreign depository which provides documents to the NSD in accordance with the Notification (if applicable) |  |
| **Сведения, позволяющие идентифицировать Держателя Еврооблигаций / Information to identify the Eurobond Holder** |
|  | Полное наименование/ФИО Держателя Еврооблигаций / Full name / name, surname of the Eurobond Holder |  |
|  | Наименование документа, удостоверяющего личность физического лица/регистрационного документа юридического лица/ Name of identity document of a natural person /registration document of a legal entity |  |
|  | Серия и/или номер документа, удостоверяющего личность физического лица/регистрационный номер юридического лица/ Series and / or number of the identity document of the natural person/registration number of the legal entity |  |
|  | Дата выдачи документа, удостоверяющего личность физического лица/дата регистрации в качестве юридического лица/ Date of issue of the identity document of the natural person / date of registration as a legal entity |  |
|  | Адрес места жительства (регистрации) физического лица/ адрес местонахождения юридического лица/ Address of residence (registration) of a natural person / address of location of a legal entity |  |
|  | Адрес электронной почты для направления уведомлений (e-mail)/Email address for sending notifications  |  |
|  | Контактный телефон/Telephone number |  |
| **Держатель Еврооблигаций является иностранной структурой, относящейся к схемам коллективного инвестирования / Eurobond Holder is a foreign entity related to the collective investment  schemes** |
|  | Возможные значения/ Possible answers | * ДА/YES
* НЕТ/NO
 |
|  | Вид Ограничения/ Type of Restriction | * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении** **Держателя Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of the Eurobond Holder**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей)** **лица,**  **являющегося Держателем Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of a person owning directly or indirectly, solely or in the aggregate 50 (fifty) or more percent of the shares (interests)** **of a person being the Eurobond Holder**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей) лица, являющегося Держателем Еврооблигаций/ Name of the person holding directly or indirectly, solely or in the aggregate 50 (fifty) or more percent of the shares (interests) of a person being the Eurobond Holder:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении территории регистрации (гражданства) Держателя Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of the territory (citizenship) of the Eurobond Holder** *Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures:*

*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** *Наименование территории регистрации (гражданства) Держателя Еврооблигаций/ Territory of registration (citizenship) of the Eurobond Holder: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*

*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| 17. | Дата введения Ограничения (с указанием даты и номера решения, если применимо)/The Date of imposition of the Restriction (including the date and number of the decision, if applicable) |  |
| 18. | Ссылки на официальный источник и (или) официальный сайт органа/ организации, принявшего решение о введении Ограничений/ Links to the official source and (or) official website of the body/organization that made the decision to impose the Restrictions  |  |
| **Банковские реквизиты Держателя Еврооблигаций для перечисления выплат по Еврооблигациям в иностранной валюте** (выплата по Еврооблигациям в иностранной валюте осуществляется в порядке, предусмотренном Указом, с 15.12.2023)**Bank details of the Holder of Eurobonds for the transfer of proceeds on Eurobonds in foreign currency** (the payment on Eurobonds in foreign currency shall be made in accordance with the procedure stipulated by Decree No. 665, from 15.12.2023) |
| 19. | 19.1. Наименование и адрес получателя / Name and address of recipient 19.2. Номер счета получателя / Account number of recipient19.3. Наименование и SWIFT код Банка получателя / Name and SWIFT code of Bank recipient19.4. Номер корреспондентского счета Банка получателя в банке корреспонденте / Correspondent account number of Bank recipient in correspondent bank19.5. Наименование и SWIFT код банка корреспондента / Name and SWIFT of code correspondent bank*В реквизитах на перевод денежных средств должен присутствовать банк страны/зоны эмитента валюты платежа (например, банк «еврозоны», в случае валюты перевода EUR или американский банк, в случае перевода в USD).* */ The bank of the country/zone of the issuer of the payment currency must be present in the transfer details (e.g. the «euro zone» bank, in case of EUR transfer or American bank, in case of USD transfer*  |  |

Перечень прилагаемых документов / List of the documents attached to:

В случае каких-либо расхождений между русской и английской версиями, текст на русском языке имеет преимущественную силу/In case of any discrepancies between the Russian and English versions, the Russian version shall prevail.

|  |  |  |
| --- | --- | --- |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(должность/ФИО)/(position/full name) | ­­­­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись)/(signed) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(дата)/(date) |

Приложение 4

**Заявление**

**о перечислении денежных средств по Еврооблигациям**

Прошу НКО АО НРД перечислить денежные средства в рублях по Еврооблигациям:

|  |  |  |
| --- | --- | --- |
|  | ISIN код Еврооблигаций |  |
|  | Вид выплаты по Еврооблигациям[[22]](#footnote-23) | * Купонный (процентный) доход
* Номинальная стоимость
* Частичная номинальная стоимость
 |
|  | Дата фиксации |  |
|  | Дата выплаты |  |
|  | Количество Еврооблигаций (в штуках)[[23]](#footnote-24) |  |
| **Сведения, позволяющие идентифицировать Держателя** **Еврооблигаций** |
|  | Тип Держателя Еврооблигаций | * владелец Еврооблигаций
* лицо, осуществляющее права по Еврооблигациям
 |
|  | Признак лица, имеющего право на получение выплат по Еврооблигациям       | * физическое лицо
* юридическое лицо
* иностранная структура, без образования юридического лица
 |
|  | Полное (краткое) наименование/ФИО Держателя Еврооблигаций |  |
|  | Наименование документа, удостоверяющего личность физического лица/регистрационного документа юридического лица |  |
|  | Серия и/или номер документа, удостоверяющего личность физического лица / регистрационный номер юридического лица |  |
|  | Дата выдачи документа, удостоверяющего личность физического лица/дата регистрации в качестве юридического лица |  |
|  | Дата рождения Держателя - физического лица |  |
|  | Адрес места жительства (регистрации) физического лица/ адрес местонахождения юридического лица |  |
|  | Наименование страны регистрации (гражданства /подданства) Держателя Еврооблигаций |  |
|  | Адрес электронной почты для направления уведомлений (e-mail) |  |
|  | Контактный телефон |  |
| **Банковские реквизиты Держателя Еврооблигаций для перечисления выплат по Еврооблигациям в рублях***(указываются реквизиты счета в российских рублях в российском или иностранном банке)* |
|  | 17.1. Наименование российского Банка получателя 17.2. Банковский идентификационный код (БИК) банка получателя (9 знаков)17.3. Город российского Банка получателя17.4. Номер корреспондентского счета банка получателя, открытый в подразделении Банка России (20 знаков) 17.5. ИНН получателя средств, присвоенный российскими налоговыми органами (10 знаков для ЮЛ или 12 знаков для ФЛ) *\* В случае, если ИНН не присвоен российскими налоговыми органами, то реквизит «ИНН получателя» заполняется нулями (10 знаков для ЮЛ или 12 знаков для ФЛ)* 17.6. Наименование получателя (в соответствии с Уставом)\* *\* В случае, если лицу, имеющему право на получение выплаты открыт счет в иностранном банке, то указывается наименование иностранного банка* 17.7. Счет получателя (корр/с или р/с получателя)\* *\* В случае если лицу, имеющему право на получение выплаты открыт счет в иностранном банке, то указывается корреспондентский счет, открытый иностранному банку в российском банке*  |  |
| **Банковские реквизиты Держателя Еврооблигаций для перечисления выплат по Еврооблигациям в иностранной валюте** (выплата по Еврооблигациям в иностранной валюте осуществляется в порядке, предусмотренном Указом, с 15.12.2023)[[24]](#footnote-25) |
|  | 18.1. Наименование и адрес получателя 18.2. Номер счета получателя 18.3. Наименование и SWIFT код Банка получателя18.4. Номер корреспондентского счета Банка получателя в банке корреспонденте 18.5. Наименование и SWIFT код банка корреспондента*В реквизитах на перевод денежных средств должен присутствовать банк страны/зоны эмитента валюты платежа (например, банк «еврозоны», в случае валюты перевода EUR или американский банк, в случае перевода в USD).*  |  |
| **Ссылка на официальный сайт в информационно-телекоммуникационной сети «Интернет» уполномоченного органа, выдавшего лицензию (разрешение) либо включившего Иностранный депозитарий в перечень (реестр) организаций, уполномоченных осуществлять учет и переход прав на ценные бумаги***(повторяющийся блок для каждого Иностранного депозитария)* |
|  | Полное наименование Иностранного депозитария |  |
|  | Ссылка на страницу официального сайта уполномоченного органа |  |
| **При предоставлении документов в случае наличия Ограничений** |
|  | Вид Ограничения  | * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении** **Держателя Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей)** **лица,** **являющегося Держателем Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей) лица, являющегося Держателем Еврооблигаций*: *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении территории регистрации (гражданства) Держателя Еврооблигаций**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование территории регистрации (гражданства) Держателя Еврооблигаций: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
|  | Дата введения Ограничения (с указанием даты и номера решения, если применимо) |  |
|  | Ссылки на официальный источник и (или) официальный сайт органа/ организации, принявшего решение о введении Ограничений |  |
| **При предоставлении документов, идентифицирующих Держателя Еврооблигаций, в порядке, предусмотренном пунктом 2.8 раздела 2 Перечня** |
|  | Изменения в предоставленных ранее в НКО АО НРД документах, идентифицирующих Держателя Еврооблигаций, в том числе в соответствующих Анкетах, (а также в сведениях, содержащихся в них), отсутствуют | * ДА
 |
| **Держатель Еврооблигаций является иностранной структурой, относящейся к схемам коллективного инвестирования**  |
|  | Возможные значения  | * ДА
* НЕТ
 |
| **При предоставлении Держателем Еврооблигаций документов до вступления в силу Приказа** |
|  | Документы для выплаты были предоставлены в НКО АО НРД до вступления в силу Приказа  | * ДА
 |
| **Информация о передаче сведений о выплатах иностранному депозитарию, указанному в документах, предоставленных Держателем Еврооблигаций, в качестве вышестоящего в цепочке депозитарного учета[[25]](#footnote-26)** |
|  | Прошу передать Иностранному депозитарию, **указанному в предоставленных мною в НКО АО НРД документах, в качестве вышестоящего в цепочке депозитарного учета,** информацию о факте осуществления мне выплаты по Еврооблигациям с указанием схемы хранения Еврооблигаций, включая Иностранный депозитарий, осуществляющий учет прав на Еврооблигации, и все Иностранные депозитарии, в которых открыты счета лиц, действующих в интересах других лиц, на которых учитываются права на Еврооблигации на Дату фиксации. | * ДА
* НЕТ
 |

Перечень прилагаемых документов:

|  |  |  |
| --- | --- | --- |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(должность/ФИО) | ­­­­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(дата)[[26]](#footnote-27) |

Приложение 4.1

**Заявление о перечислении денежных средств по Еврооблигациям/**

**Application for the transfer of proceeds on Eurobonds**

Прошу НКО АО НРД перечислить денежные средства в рублях по Еврооблигациям /We hereby request NSD to transfer funds in Russian rubles in respect of the Eurobonds:

|  |  |  |
| --- | --- | --- |
|  | ISIN код Еврооблигаций/ ISIN for Eurobond |  |
|  | Вид выплаты по Еврооблигациям/ Type of payment on Eurobond[[27]](#footnote-28) | * Купонный (процентный) доход/ Coupon (interest) yield
* Номинальная стоимость/ Face value
* Частичная номинальная стоимость/ Partial face value
 |
|  | Дата фиксации/ Record date |  |
|  | Дата выплаты/ Payout date |  |
|  | Количество Еврооблигаций (в штуках)[[28]](#footnote-29)/ Number of Eurobonds (in units of security)[[29]](#footnote-30) |  |
| **Сведения, позволяющие идентифицировать Держателя Еврооблигаций** |
|  | Тип Держателя Еврооблигаций /Eurobond Holder type | * владелец Еврооблигаций/

owner of Eurobonds * лицо, осуществляющее права по Еврооблигациям/

the person exercising the rights to the securities |
|  | Признак лица, имеющего право на получение выплат по Еврооблигациям/ Classification of the person entitled to receive paymеnt  | * физическое лицо/ an individual;
* юридическое лицо/ a legal entity;
* иностранная структура, без образования юридического лица/ foreign structure, without formation of a legal entity
 |
|  | Полное (краткое) наименование/ФИО Держателя Еврооблигаций / Full (short) name/name, surname of the Eurobond Holder |  |
|  | Наименование документа, удостоверяющего личность физического лица/регистрационного документа юридического лица/Name of identity document of a natural person/registration document of a legal entity  |  |
|  | Серия и/или номер документа, удостоверяющего личность физического лица/регистрационный номер юридического лица/ Series and / or number of the identity document of the natural person / registration number of the legal entity |  |
|  | Дата выдачи документа, удостоверяющего личность физического лица/дата регистрации в качестве юридического лица/ Date of issue of the identity document of the natural person / date of registration as a legal entity |  |
|  | Дата рождения Держателя - физического лица/ Date of birth (for the Holder - the individual) |  |
|  | Адрес места жительства (регистрации) физического лица/ адрес местонахождения юридического лица/ Address of residence (registration) of a natural person / address of location of a legal entity |  |
|  | Наименование страны регистрации (гражданства /подданства) Держателя Еврооблигаций/ Country of registration (citizenship/nationality) of the Eurobond Holder  |  |
|  | Адрес электронной почты для направления уведомлений (e-mail)/Email address for sending notifications |  |
|  | Контактный телефон/Contact phone number |  |
| **Банковские реквизиты Держателя Еврооблигаций для перечисления выплат по Еврооблигациям**/**Bank details of the Eurobond Holder for the transfer of proceeds on Eurobonds***(указываются реквизиты счета в российских рублях в российском или иностранном банке)*/*(RUB account details with a Russian or foreign bank)* |
|  | 17.1. Наименование российского Банка получателя/ Name of Russian recipient Bank 17.2. Банковский идентификационный код (БИК) банка получателя (9 знаков)/ Bank Identification Code (BIC) of Bank recipient (9 digit number) 17.3. Город российского Банка получателя/ City of the Russian Bank recipient17.4. Номер корреспондентского счета банка получателя, открытый в подразделении Банка России (20 знаков)/ Correpondent account number of Bank recipient (20 digit number) 17.5. ИНН получателя средств, присвоенный российскими налоговыми органами (10 знаков для ЮЛ или 12 знаков для ФЛ)/ *TIN* of recipient (Russain tax identification number - 10 digit number for legal enteties and 12 digit number for individuals) *\* В случае если ИНН не присвоен российскими налоговыми органами, то реквизит «ИНН получателя» заполняется нулями (10 знаков для ЮЛ или 12 знаков для ФЛ)/ In case the TIN is not assigned by the Russian tax authorities, the "Recipient's TIN" requisite shall be filled with zeros (10 zeros for legal entities or 12 zeros for individuals)* 17.6. Наименование получателя (в соответствии с Уставом)\*/Name of the recipient (in accordance with the Charter)\* \* *В случае если лицу, имеющему право на получение выплаты открыт счет в иностранном банке, то указывается наименование иностранного банка/ If the person entitled to receive the payment has an account in a foreign bank, the name of the foreign bank shall be indicated.* 17.7. Счет получателя (корр/с или р/с получателя)\*/ Recipient account (correspondent account or current account) \* *\* В случае если лицу, имеющему право на получение выплаты открыт счет в иностранном банке, то указывается корреспондентский счет, открытый иностранному банку в российском банке/ If the person entitled to receive the payment has an account in a foreign bank, the correspondent account opened for the foreign bank in a Russian bank shall be indicated.*  |  |
| **Банковские реквизиты Держателя Еврооблигаций для перечисления выплат по Еврооблигациям в иностранной валюте** (выплата по Еврооблигациям в иностранной валюте осуществляется в порядке, предусмотренном Указом, с 15.12.2023) / **Bank details of the Eurobond Holder for the transfer of proceeds on Eurobonds in foreign currency** (the payment on Eurobonds in foreign currency shall be made in accordance with the procedure stipulated by Decree No. 665, from 15.12.2023)[[30]](#footnote-31)  |
|  | 18.1. Наименование и адрес получателя / Name and address of recipient 18.2. Номер счета получателя / Account number of recipient18.3. Наименование и SWIFT код Банка получателя / Name and SWIFT code of Bank recipient18.4. Номер корреспондентского счета Банка получателя в банке корреспонденте / Correspondent account number of Bank recipient in correspondent bank18.5. Наименование и SWIFT код банка корреспондента / Name and SWIFT of code correspondent bank*В реквизитах на перевод денежных средств должен присутствовать банк страны/зоны эмитента валюты платежа (например, банк «еврозоны», в случае валюты перевода EUR или американский банк, в случае перевода в USD).* */ The bank of the country/zone of the issuer of the payment currency must be present in the transfer details (e.g. "euro zone" bank, in case of EUR transfer currency, or American bank, in case of USD transfer).* |  |
| **Ссылка на официальный сайт в информационно-телекоммуникационной сети «Интернет» уполномоченного органа, выдавшего лицензию (разрешение) либо включившего Иностранный депозитарий в перечень (реестр) организаций, уполномоченных осуществлять учет и переход прав на ценные бумаги**/**Link to the official website in the information and telecommunication network "Internet" of the authorized body that issued the license (permit) or included the Foreign Depository in the list (register) of organizations authorized to record and transfer rights to securities***(повторяющийся блок для каждого Иностранного депозитария)*/*(Completed individually for each International Securities Depository)* |
|  | Полное наименование Иностранного депозитария/Full name of the International Securities Depository |  |
|  | Ссылка на страницу официального сайта уполномоченного органа/Link to the official website of the competent authority |  |
| **При предоставлении документов в случае наличия Ограничений/ When submitting documents in case of Restrictions** |
|  | Вид Ограничения/ Type of Restriction | * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении** Держателя **Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of the Eurobond Holder**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей)** **лица,**  **являющегося Держателем Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of a person owning directly or indirectly, solely or in the aggregate 50 (fifty) or more percent of the shares (interests)** **of a person being the Eurobond Holder**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры Foreign state/international organization/foreign financial organization that imposed restrictive measures:* *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование лица, владеющего прямо или косвенно, единолично или в совокупности 50 (пятьюдесятью) или более процентами акций (долей) лица, являющегося Держателем Еврооблигаций/ Name of the person holding directly or indirectly, solely or in the aggregate 50 (fifty) or more percent of the shares (interests) of a person being the Eurobond Holder*: *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| * Ограничительные меры, введенные уполномоченными органами иностранных государств, международными организациями, иностранными финансовыми организациями **в отношении территории регистрации (гражданства) Держателя Еврооблигаций/** Restrictive measures imposed by authorized bodies of foreign states, international organizations, foreign financial institutions **in respect of the territory (citizenship) of the Eurobond Holder**

*Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело ограничительные меры/ Foreign state/international organization/foreign financial organization that imposed restrictive measures:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Наименование территории регистрации (гражданства) Держателя Еврооблигаций*/ *Territory of registration (citizenship) of the Eurobond Holder*: *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
|  | Наименование иностранного государства/ международной организации/ иностранной финансовой организации, которое ввело Ограничения/ Foreign state, international organization, foreign financial institution that imposed the Restrictions |  |
|  | Дата введения Ограничения (с указанием даты и номера решения, если применимо)/ The Date of imposition of the Restriction (including the date and number of the decision, if applicable) |  |
|  | Ссылки на официальный источник и (или) официальный сайт органа/ организации, принявшего решение о введении Ограничений/ Links to the official source and (or) official website of the body / organization that made the decision to impose the Restrictions |  |
| **При предоставлении документов, идентифицирующих Держателя Еврооблигаций, в порядке, предусмотренном пунктом 2.8 раздела 2 Перечня/****If documents identifying the Eurobond Holder are provided in the manner prescribed in paragraph 2.8 chapter 2 of the List** |
|  | Изменения в предоставленных ранее в НКО АО НРД документах, идентифицирующих Держателя Еврооблигаций, в том числе в соответствующих Анкетах, (а также в сведениях, содержащихся в них), отсутствуют / There are no changes in the documents previously provided to NSD identifying the Holder of Eurobonds, including in the relevant Questionnaires (as well as in the information contained therein) | * ДА/ YES
 |
| **Держатель является иностранной структурой, относящейся к схемам коллективного инвестирования/** The Eurobond Нolder is a foreign structure which relates to the collective investment schemes |
|  | Возможные значения/ Possible answers | * ДА/ YES
* НЕТ/ NO
 |
| **При предоставлении Держателем Еврооблигаций документов до вступления в силу Приказа/ If the documents were submitted by the Eurobond Holder before Order No. 415 of the Ministry of Finance of Russia came into effect** |
|  | Документы для выплаты были предоставлены в НКО АО НРД до вступления в силу Приказа / **The documents were submitted to NSD before Order No. 415 of the Ministry of Finance of Russia came into effect** | * ДА/ YES
 |
| **Информация о передаче сведений о выплатах иностранному депозитарию, указанному в документах, предоставленных Держателем Еврооблигаций, в качестве вышестоящего в цепочке депозитарного учета / Information on transfer of information on payments to the foreign depositary indicated in the documents submitted by the Eurobond Нolder as superior in the chain of depository accounting[[31]](#footnote-32)**  |
|  | Прошу передать Иностранному депозитарию, указанному в предоставленных мною в НКО АО НРД документах, в качестве вышестоящего в цепочке депозитарного учета информацию о факте осуществления мне выплаты по Еврооблигациям с указанием схемы хранения Еврооблигаций, включая Иностранный депозитарий, осуществляющий учет прав на Еврооблигации, и все Иностранные депозитарии, в которых открыты счета лиц, действующих в интересах других лиц, на которых учитываются права на Еврооблигации на Дату фиксации / I request that the Foreign Securities Depository, specified in the documents I have submitted to NSD as a superior in the custody chain be provided with information on the fact that the Eurobond Payment was made to me, indicating the scheme of custody of the securities, including the Foreign Depository which keeps records of the rights to the Eurobonds and all Foreign Depositories where accounts of persons acting for the benefit of other persons are opened, where the rights to the Eurobonds are recorded as of the Record Date.  | * ДА/ YES
* НЕТ/ NO
 |

Перечень прилагаемых документов / List of the documents attached to:

В случае каких-либо расхождений между русской и английской версиями, текст на русском языке имеет преимущественную силу/In case of any discrepancies between the Russian and English versions, the Russian version shall prevail.

|  |  |  |
| --- | --- | --- |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(должность/ФИО)/(position/full name) | ­­­­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись)/(signed) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(дата)/(date)[[32]](#footnote-33) |

Приложение 5

Настоящим \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(полное наименование/ФИО держателя еврооблигаций Российской Федерации */ full name of the holder of Eurobonds of the Russian Federation*)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(документ о регистрации юридического лица/документ, удостоверяющий личность физического лица в соответствии с личным законом держателя еврооблигаций Российской Федерации, серия и номер документа, дата его выдачи, орган, выдавший документ / legal entity registration document / *ID document of an individual in accordance with the law of the jurisdiction of the holder of Eurobonds of the Russian Federation, document series and number, the date of its issuance, the authority that issued the document,*)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(государство, определяющее личный закон держателя еврооблигаций Российской Федерации / *the state that determines the law of the jurisdiction of the holder of Eurobonds of the Russian Federation*)

подтверждает, что соглашается принять исполнение обязательств по принадлежащим ему государственным ценным бумагам Российской Федерации, номинальная стоимость которых указана в иностранной валюте / *hereby confirms that it agrees to accept the fulfilment of obligations on government securities of the Russian Federation, the nominal value of which is specified in foreign currency* (ISIN\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_,
дата фиксации / *record date* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, далее – еврооблигации Российской Федерации / *hereinafter referred to as Eurobonds of the Russian Federation*), совершенное в валюте, отличной от валюты долга по еврооблигациям Российской Федерации, или за счет денежных средств в иностранной валюте, в которой выражены обязательства по еврооблигациям Российской Федерации, находящихся на счетах центрального депозитария в иностранном депозитарии, а также признает и заверяет, что: / *in a currency other than the currency of the Eurobond of the Russian Federation debt or at the expense of funds in foreign currency in which the liabilities on Eurobonds of the Russian Federation are denominated, held on the accounts of the central depository in a foreign depository, and also acknowledges and certifies that*:

* перечисление в его пользу выплаты по еврооблигациям Российской Федерации в валюте, отличной от валюты долга по еврооблигациям или за счет денежных средств в иностранной валюте, в которой выражены обязательства по еврооблигациям Российской Федерации, находящихся на счетах центрального депозитария в иностранном депозитарии Российской Федерации является надлежащим и полным исполнением обязательств по указанным еврооблигациям Российской Федерации / *transfer of a payment on Eurobonds of the Russian Federation in a currency other than the currency of the Eurobond of the Russian Federation debt or at the expense of funds in foreign currency in which the liabilities on Eurobonds of the Russian Federation are denominated, held on the accounts of the central depository in a foreign depository shall be deemed as the proper and complete fulfilment of obligations on the specified Eurobonds of the Russian Federation*;
* не имеет и не будет иметь в дальнейшем каких-либо претензий к Российской Федерации, если исполнение обязательств по принадлежащим ему еврооблигациям Российской Федерации будет произведено в валюте, отличной от валюты долга по еврооблигациям Российской Федерации, или за счет денежных средств в иностранной валюте, в которой выражены обязательства по еврооблигациям Российской Федерации, находящихся на счетах центрального депозитария в иностранном депозитарии, в порядке и на условиях, предусмотренных Указом Президента Российской Федерации «О временном порядке исполнения перед резидентами и иностранными кредиторами государственных долговых обязательств Российской Федерации, выраженных в государственных ценных бумагах, номинальная стоимость которых указана в иностранной валюте, и иных обязательств по иностранным ценным бумагам» от 09 сентября 2023 года № 665 и принятыми в соответствии с ним актами Правительства Российской Федерации, решениями и официальными разъяснениями Министерства финансов Российской Федерации и (или) Центрального банка Российской Федерации/ *does not have and will not have any further claims against the Russian Federation, if the fulfilment of obligations under the Eurobonds of the Russian Federation owned by it is made in a currency other than the currency of the Eurobonds of the Russian Federation debt or at the expense of funds in foreign currency in which the liabilities on Eurobonds of the Russian Federation are denominated, held on the accounts of the central depository in a foreign depository in accordance with the procedure and the terms stipulated by the Decree of the President of the Russian Federation No. 665 dated 09 September 2023 “On the Temporary Procedure for the Execution to Residents and Foreign Creditors of State Debt Obligations of the Russian Federation Expressed in State Securities, the Nominal Value of Which is Specified in Foreign Currency, and Other Obligations on Foreign Securities” and acts of the Government of the Russian Federation adopted in accordance with it, decisions and official clarifications of the Ministry of Finance of the Russian Federation and (or) the Central Bank of the Russian Federation.*

В случае каких-либо расхождений между русской и английской версиями, текст на русском языке имеет преимущественную силу/ In case of any discrepancies between the Russian and English versions, the Russian version shall prevail.

 «\_\_\_\_\_»\_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_

Дата (ДД.ММ.ГГГГ) / Date (DD.MM.YYYY)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Подпись и печать (при наличии)) / *(Signature and seal (if any))*

# **Приложение 6**

|  |
| --- |
| **Форма AA116****АНКЕТА ФИЗИЧЕСКОГО ЛИЦА**  |
| 1. **Общие сведения**
 |
| Фамилия, имя, отчество *(при наличии последнего)* | Фамилия:Имя:Отчество: |
| Дата рождения | Дата (ДД.ММ.ГГГГ): |
| Пол | * Мужской
* Женский
 |
| Гражданство *(указать все страны, гражданином которых Вы являетесь)*  | Гражданство: *Повторяющийся блок для гражданства каждого государства*  |
| Реквизиты документа, удостоверяющего личность  | Наименование документа:Серия (при наличии) и номер:Дата выдачи:Наименование органа, выдавшего документ:Код подразделения (если имеется): |
| Данные документа, подтверждающего право иностранного гражданина или лица без гражданства на пребывание (проживание) в Российской Федерации (для иностранного гражданина и лица без гражданства) | Наименование документа:Серия (если имеется) и номер:Дата начала срока действия права пребывания (проживания): Дата окончания срока действия права пребывания (проживания): |
| Вид на жительство *(указать все страны, в которых Вы имеете вид на жительство (при наличии)* | Вид на жительство: *Повторяющийся блок для каждого вида на жительство*  |
| Адрес места регистрации | Страна:Регион:Населенный пункт (город и т.д.):Наименование улицы:Номер дома (владения):Номер корпуса (строения):Номер квартиры: |
| Адрес места пребывания (фактический адрес проживания) | Страна:Регион:Населенный пункт (город и т.д.):Наименование улицы:Номер дома (владения):Номер корпуса (строения):Номер квартиры: |
| ИНН *(при наличии)* |  |
| TIN *(при наличии)* ID-код соцобеспечения *(если иностранная юрисдикция не присваивает налогоплательщикам TIN)* | Юрисдикция: TIN:*Повторяющийся блок для каждой юрисдикции* Юрисдикция: ID:*Повторяющийся блок для каждой юрисдикции*  |
| СНИЛС *(обязательно для граждан РФ)* |  |
| Контактная информация (*при наличии*) | Номер телефонаНомер факсаАдрес электронной почтыПочтовый адрес |
| **2. Сведения о принадлежности КЛИЕНТА к некоторым категориям лиц** |
| 1. Являетесь ли Вы 1) иностранным публичным должностным лицом\*; либо 2) должностным лицом публичных международных организаций\*\*; либо 3) лицом, замещающим (занимающим) государственные должности Российской Федерации, должности членов Совета директоров Центрального банка Российской Федерации, должности федеральной государственной службы, назначение на которые и освобождение от которых осуществляются Президентом Российской Федерации или Правительством Российской Федерации, должности в Центральном банке Российской Федерации, государственных корпорациях и иных организациях, созданных Российской Федерацией на основании федеральных законов, включенные в перечни должностей, определяемые Президентом Российской Федерации? **(НЕТ/ДА)**Если ответ на предыдущий вопрос «ДА», укажите занимаемую должность, наименование и адрес работодателя: заполните блок 4 настоящей Анкеты.2. Являетесь ли Вы родственником категорий лиц, указанных в п. 1 (супругом/супругой или близким родственником (родственниками по прямой восходящей и нисходящей линии (родителями и детьми, дедушкой, бабушкой и внуками), полнородным и неполнородным (имеющими общих отца или мать) братьями и сестрами, усыновителями и усыновленными)?**(НЕТ/ДА)**Если ответ на предыдущий вопрос «ДА», укажите степень родства либо статус (супруг или супруга) и должность, ФИО, родственника и занимаемую им должность, а также наименование и адрес работодателя:3. Осуществляете ли Вы операции и/или сделки с денежными средствами или иным имуществом от имени категорий лиц, указанных в п. 1-2? **(НЕТ/ДА)**Если ответ на предыдущий вопрос «ДА», укажите от имени какого лица Вы действуете:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\* *Любое назначаемое или избираемое лицо, занимающее какую-либо должность в законодательном, исполнительном, административном или судебном органе иностранного государства, и любое лицо, выполняющее какую-либо публичную функцию для иностранного государства, в том числе для публичного ведомства или публичного предприятия.**\*\* Международный гражданский служащий или любое лицо, которое уполномочено такой организацией действовать от ее имени (например, такой как: ООН, Международная морская организация, Совет Европы, институты ЕС, ОБСЕ, Организация американских государств, НАТО и т.д.);* |
| **3. Сведения о наличии/отсутствии у КЛИЕНТА бенефициарного владельца, представителя, выгодоприобретателя** |
| Наличие физического лица, которое в конечном счете прямо или косвенно (через третьих лиц) имеет возможность контролировать Ваши действия*При ответе «ДА» следует заполнить и предоставить Анкету по форме АА106.* | * ДА
* НЕТ
 |
| Планирует ли проводить/проводит ли Клиент операции к выгоде другого лица, в т.ч. в рамках договоров комиссии, агентских договоров, договоров поручения, доверительного управления и др. и/или при осуществлении расчетов за третье лицо?*При ответе «ДА» следует заполнить и предоставить Анкету выгодоприобретателя. Если выгодоприобретателей несколько, то форма заполняется на каждого выгодоприобретателя отдельно.* | * ДА
* НЕТ
 |
| Наличие лица, которое является Вашим Представителем при обслуживании в НКО АО НРД.***При ответе «ДА» следует заполнить и предоставить Анкеты по форме АА106*** | * ДА
* НЕТ
 |
| **4. Сведения об источниках происхождения денежных средств и (или) иного имущества КЛИЕНТА\****\* заполняется в случае, если Клиент-физическое лицо является иностранным публичным должностным лицом (ИПДЛ), а также в случаях, установленных действующих законодательством и нормативными актами Банка России, по отдельному запросу.* |
| Укажите источники: |
| **5. Дополнительные сведения о КЛИЕНТЕ - физическом лице \*** *\* заполняется в случаях, установленных действующих законодательством и нормативными актами Банка России по отдельному запросу.* |
| Цель установления и предполагаемый характер отношений с НКО АО НРД |  |
| Цели финансово-хозяйственной деятельности |  |
| Финансовое положение |  |
|  |  |
| Деловая репутация |  |
| **6. Дата заполнения сведений** |  |

Настоящим подтверждаю полноту и достоверность данных, указанных в настоящей анкете.

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| --- | --- | --- |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(ФИО) | ­­­­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись) |  |

# **Приложение 6.1.**

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| **Форма AA116/Details Form AA116****АНКЕТА ФИЗИЧЕСКОГО ЛИЦА/INDIVIDUAL'S DETAILS FORM** |
| 1. **Общие сведения/General information**
 |
| Фамилия, имя, отчество *(при наличии последнего)/*Surname, given name and middle name *(if any)* | Фамилия/Surname:Имя/Name:Отчество/Patronymic (if any): |
| Дата/ Date of birth | Дата (ДД.ММ.ГГГГ)/Date (DD.MM.YYYY): |
| Пол/Sex | * Мужской/Male
* Женский/Female
 |
| Гражданство/Citizenship*(указать все страны, гражданином которых Вы являетесь)/* *(list all the countries you are a citizen of)* | Гражданство/Citizenship: *Повторяющийся блок для гражданства каждого государства/For each country citizenship*  |
| Реквизиты документа, удостоверяющего личность/ID document details: | Наименование документа/Document type:Серия (при наличии) и номер/Document series (if any) and number:Дата выдачи/Date of issue:Наименование органа, выдавшего документ/Issuing authority:Код подразделения (если имеется)/ Subdivision code (if any): |
| Данные документа, подтверждающего право иностранного гражданина или лица без гражданства на пребывание (проживание) в Российской Федерации (для иностранного гражданина и лица без гражданства)/ Details of a document evidencing a foreign citizen’s or stateless person’s right to stay (reside) in the Russian Federation (for Foreign citizens or stateless persons) | Наименование документа/Document type:Серия (если имеется) и номер/Document series (if any) and number:Дата начала срока действия права пребывания (проживания)/Effective date of the right to stay (reside): Дата окончания срока действия права пребывания (проживания)/Expiry date of the right to stay (reside): |
| Вид на жительство/Residence permit*(указать все страны, в которых Вы имеете вид на жительство (при наличии)/(list all countries where you have a residence permit (if any)* | Вид на жительство/Residence permit: *Повторяющийся блок для каждого вида на жительство/For each residence permit* |
| Адрес места регистрации/Place of registration | Страна/Country:Регион/Region:Населенный пункт (город и т.д.)/City (town, etc.):Наименование улицы/Street name:Номер дома (владения)/House number:Номер корпуса (строения)/Building number:Номер квартиры/Apartment number: |
| Адрес места пребывания (фактический адрес проживания)/Place of stay address | Страна/Country:Регион/Region:Населенный пункт (город и т.д.)/ City (town, etc.):Наименование улицы/Street name:Номер дома (владения)/House number:Номер корпуса (строения)/Building number:Номер квартиры/Apartment numder: |
| ИНН *(при наличии)/*Taxpayer Number (TIN) *(if any)* |  |
| TIN *(при наличии)/*TIN *(if any)*ID-код соцобеспечения *(если иностранная юрисдикция не присваивает налогоплательщикам TIN)/* Social security ID number *(if the foreign jurisdiction does not assign TINs to taxpayers)* | Юрисдикция/Jurisdiction: TIN:*Повторяющийся блок для каждой юрисдикции* Юрисдикция/Jurisdiction: ID:*Повторяющийся блок для каждой юрисдикции/* *For each jurisdiction* |
| СНИЛС *(обязательно для граждан РФ)/* SNILS *(required for Russian citizens)* |  |
| Контактная информация (*при наличии*)/ Contact details *(if available)* | Номер телефона/TelephoneНомер факса/Fax Адрес электронной почты/E-mailПочтовый адрес/Mailing address |
| **2. Сведения о принадлежности КЛИЕНТА к некоторым категориям лиц/** **Identification of the CLIENT as a special category person** |
| 1. Являетесь ли Вы 1) иностранным публичным должностным лицом\*; либо 2) должностным лицом публичных международных организаций\*\*; либо 3) лицом, замещающим (занимающим) государственные должности Российской Федерации, должности членов Совета директоров Центрального банка Российской Федерации, должности федеральной государственной службы, назначение на которые и освобождение от которых осуществляются Президентом Российской Федерации или Правительством Российской Федерации, должности в Центральном банке Российской Федерации, государственных корпорациях и иных организациях, созданных Российской Федерацией на основании федеральных законов, включенные в перечни должностей, определяемые Президентом Российской Федерации?/Are you 1) a foreign public official\*; or 2) an official of public international organizations\*\*; or 3) a person substituting for (holding) public office of the Russian Federation, office of the Board of Directors of the Central Bank of the Russian Federation, federal public service positions to which appointment and dismissal are performed by the Russian President or the Russian Government, positions in the Central Bank of the Russian Federation, state corporations and other organizations established by the Russian Federation under federal laws and listed among the positions determined by the President of the Russian Federation?**(НЕТ/ДА)**  **(NO/YES)**Если ответ на предыдущий вопрос «ДА», укажите занимаемую должность, наименование и адрес работодателя: заполните блок 4 настоящей Анкеты/If you tick “YES” to the previous question, please indicate state the position held and the name and address of the employer: complete section 4 of this form.2. Являетесь ли Вы родственником категорий лиц, указанных в п. 1 (супругом/супругой или близким родственником (родственниками по прямой восходящей и нисходящей линии (родителями и детьми, дедушкой, бабушкой и внуками), полнородным и неполнородным (имеющими общих отца или мать) братьями и сестрами, усыновителями и усыновленными)?/Are you a family member with the categories mentioned in paragraph 1 (spouse or near of kin (ascendants and descendants (parents and children, grandparents and grandchildren), full and half siblings (having a common father or mother), adoptive parents and adopted children)?**(НЕТ/ДА) (NO/YES)**Если ответ на предыдущий вопрос «ДА», укажите степень родства либо статус (супруг или супруга) и должность, ФИО, родственника и занимаемую им должность, а также наименование и адрес работодателя/If you tick “YES” to the previous question, please indicate the relationship to or status (spouse) and position, full name of the relative and position held, along with the name and address of the employer:3. Осуществляете ли Вы операции и/или сделки с денежными средствами или иным имуществом от имени категорий лиц, указанных в п. 1-2?/Do you perform transactions and/or deal with funds or other assets on behalf of the categories of persons mentioned in paragraphs 1-2?**(НЕТ/ДА) (NO/YES)**Если ответ на предыдущий вопрос «ДА», укажите от имени какого лица Вы действуете:/If you tick “YES” to the previous question, please indicate the person on whose behalf you are acting:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\* *Любое назначаемое или избираемое лицо, занимающее какую-либо должность в законодательном, исполнительном, административном или судебном органе иностранного государства, и любое лицо, выполняющее какую-либо публичную функцию для иностранного государства, в том числе для публичного ведомства или публичного предприятия/\*Any person appointed or elected to hold any legislative, executive, administrative or judicial office in a foreign country and any person who performs any public function for a foreign country, including for a public agency or public enterprise.**\*\* Международный гражданский служащий или любое лицо, которое уполномочено такой организацией действовать от ее имени (например, такой как: ООН, Международная морская организация, Совет Европы, институты ЕС, ОБСЕ, Организация американских государств, НАТО и т.д.)/\*\* International civil servant or any person authorized by such an organization to act on its behalf (for example, UN, IM, EC, EC institutions,OSCE, OAS, NATO and etc.);* |
| **3. Сведения о наличии/отсутствии у КЛИЕНТА бенефициарного владельца, представителя, выгодоприобретателя/Information on whether the CLIENT has a beneficial owner, representative, or a beneficiary** |
| Наличие физического лица, которое в конечном счете прямо или косвенно (через третьих лиц) имеет возможность контролировать Ваши действия/Do you have an individual who is ultimately controlling your actions, either directly or indirectly (through a third party)?*При ответе «ДА» следует заполнить и предоставить Анкету по форме АА106/If you tick “YES”, please complete and submit the Details Form АА106* | * ДА/YES
* НЕТ/NO
 |
| Планирует ли проводить/проводит ли Клиент операции к выгоде другого лица, в т.ч. в рамках договоров комиссии, агентских договоров, договоров поручения, доверительного управления и др. и/или при осуществлении расчетов за третье лицо?/ Does the Client plan to execute/execute transactions for the benefit of another person, such as under commission agency agreements, agency agreements, engagement agreements, trust agreements, etc. and/or when making settlements for a third party?*При ответе «ДА» следует заполнить и предоставить Анкету выгодоприобретателя. Если выгодоприобретателей несколько, то форма заполняется на каждого выгодоприобретателя отдельно/If you tick “YES”, please complete and submit the Beneficiary’s Details Form. If more than one beneficiary, please complete a separate form for each beneficiary.* | * ДА/YES
* НЕТ/NO
 |
| Наличие лица, которое является Вашим Представителем при обслуживании в НКО АО НРД/Do you have a Representative when you are serviced by NSD?***При ответе «ДА» следует заполнить и предоставить Анкеты по форме АА106/If you tick “YES”, please complete and submit the Details Form АА106*** | * ДА/YES
* НЕТ/NO
 |
| **4. Сведения об источниках происхождения денежных средств и (или) иного имущества КЛИЕНТА\*/Information on the source of funds and/or other assets of the CLIENT\****\* заполняется в случае, если Клиент-физическое лицо является иностранным публичным должностным лицом (ИПДЛ), а также в случаях, установленных действующих законодательством и нормативными актами Банка России, по отдельному запросу/\* to be completed if the Individual Client is a foreign public official (FPO), and in cases required by applicable laws and Bank of Russia regulations upon separate request.* |
| Укажите источники/Please indicate the sources: |
| **5. Дополнительные сведения о КЛИЕНТЕ - физическом лице \*/Further Individual Client details\****\* заполняется в случаях, установленных действующих законодательством и нормативными актами Банка России по отдельному запросу/\*to be completed where required by applicable laws and Bank of Russia regulations upon request.* |
| Цель установления и предполагаемый характер отношений с НКО АО НРД/Purpose and intended nature of the relationship with NSD |  |
| Цели финансово-хозяйственной деятельности/Business and financial activity purposes |  |
| Финансовое положение/Financial position |  |
|  |  |
| Деловая репутация/Business reputation |  |
| **6. Дата заполнения сведений/Details Form completion date** |  |

Настоящим подтверждаю полноту и достоверность данных, указанных в настоящей анкете/I hereby acknowledge that data on this form is correct and complete.

В случае каких-либо расхождений между русской и английской версиями, текст на русском языке имеет преимущественную силу / In case of any discrepancies between the Russian and English versions, the Russian version shall prevail.

|  |  |  |
| --- | --- | --- |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(ФИО)/(Name, surname) | ­­­­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(подпись)/(signed) |  |

1. A person's signature may be authenticated by a competent authority (person) of a foreign state (including a notary public) or a notary public of the Russian Federation, or, provided they are signed in the presence of an authorised employee of the CSD, if such documents are executed in the Russian Federation. [↑](#footnote-ref-2)
2. To confirm this criterion, any of the following documents should be provided: a letter from the parent company with the specified information (original with a notarised power of attorney attached, if the letter is signed by a representative under a power of attorney); statements (extract from the statements) of the group of companies with the specified information (notarised copy/copy certified by the sole executive body). [↑](#footnote-ref-3)
3. This supporting reference is considered by NSD in conjunction with the applicant's other documents. [↑](#footnote-ref-4)
4. 4 The requirement stipulated in paragraph 2.3, Section 2 of the List does not apply. [↑](#footnote-ref-5)
5. This supporting reference is considered by NSD in conjunction with the applicant's other documents. [↑](#footnote-ref-6)
6. This supporting reference is considered by NSD in conjunction with the applicant's other documents. [↑](#footnote-ref-7)
7. The requirement stipulated in paragraph 2.3, Section 2 of the List does not apply. [↑](#footnote-ref-8)
8. This supporting reference is considered by NSD in conjunction with the applicant's other documents. [↑](#footnote-ref-9)
9. This supporting reference is considered by NSD in conjunction with the applicant's other documents. [↑](#footnote-ref-10)
10. The requirement stipulated in paragraph 2.3, Section 2 of the List does not apply. [↑](#footnote-ref-11)
11. In order to make a favourable decision, NSD may use the documents submitted earlier and containing the required information. [↑](#footnote-ref-12)
12. To confirm this criterion, any of the following documents should be provided: a letter from the parent company with the specified information (original with a notarised power of attorney attached, if the letter is signed by a representative under a power of attorney); statements (extract from the statements) of the group of companies with the specified information (notarised copy/copy certified by the sole executive body). [↑](#footnote-ref-13)
13. To confirm this criterion, the following documents may be submitted: a letter from the rating agency assigning a rating to the International Securities Depository (a notarised copy or a copy certified by the sole executive body); a letter referencing a page on the rating agency's or the depository's website where the assigned rating is published (an original). [↑](#footnote-ref-14)
14. To confirm this criterion, a letter may be submitted indicating a link to the page of the website of the international depository relating to international settlement and clearing organisations or central securities depositories of which the relevant depository is a client, where the relevant information is posted (original). [↑](#footnote-ref-15)
15. Присвоенный НРД номер Заявления направляется на адрес электронной почты, указанный в Заявлении [↑](#footnote-ref-16)
16. Касается выплат в рублях. Информирование иностранного депозитария при передаче выплат в иностранной валюте регулируется пунктом 10 Указа Президента Российской Федерации от 09.09.2023 № 665 «О временном порядке исполнения перед резидентами и иностранными кредиторами государственных долговых обязательств Российской Федерации, выраженных в государственных ценных бумагах, номинальная стоимость которых указана в иностранной валюте, и иных обязательств по иностранным ценным бумагам». [↑](#footnote-ref-17)
17. Присвоенный НРД номер Заявления направляется на адрес электронной почты, указанный в Заявлении/ The Application number assigned to NSD is sent to the email address specified in the Application [↑](#footnote-ref-18)
18. Касается выплат в рублях. Информирование иностранного депозитария при передаче выплат в иностранной валюте регулируется пунктом 10 Указа Президента Российской Федерации от 09.09.2023 № 665 «О временном порядке исполнения перед резидентами и иностранными кредиторами государственных долговых обязательств Российской Федерации, выраженных в государственных ценных бумагах, номинальная стоимость которых указана в иностранной валюте, и иных обязательств по иностранным ценным бумагам». / Concerns payments in rubles. Informing a foreign depository when transferring payments in foreign currency is regulated by paragraph 10 of Decree of the President of the Russian Federation No. 665 dated 09.09.2023 “On the Temporary Procedure for the Execution to Residents and Foreign Creditors of State Debt Obligations of the Russian Federation Expressed in State Securities, the Nominal Value of Which is Specified in Foreign Currency, and Other Obligations on Foreign Securities”. [↑](#footnote-ref-19)
19. Для пересчета в штуки количества Еврооблигаций, выраженного в валюте по номинальной стоимости, можно разделить такое количество на номинальную стоимость одной Еврооблигации. [↑](#footnote-ref-20)
20. Для пересчета в штуки количества Еврооблигаций, выраженного в валюте по номинальной стоимости, можно разделить такое количество на номинальную стоимость одной Еврооблигации. [↑](#footnote-ref-21)
21. To convert the number of Eurobonds denominated in a currency at face value into units, you can divide such number by the face value of one Eurobond. [↑](#footnote-ref-22)
22. В случае если дата фиксации для выплаты купонного дохода и номинальной стоимости / частичной номинальной стоимости совпадает, то указывается несколько вариантов. При выборе нескольких вариантов проставляется отметка напротив каждого вида выплаты по Еврооблигациям. [↑](#footnote-ref-23)
23. Для пересчета в штуки количества Еврооблигаций, выраженного в валюте по номинальной стоимости, можно разделить такое количество на номинальную стоимость одной Еврооблигации. [↑](#footnote-ref-24)
24. Подавая настоящее Заявление подтверждаю(-ем), что мне (нам) известно о включении НКО АО НРД в санкционные списки Европейского Союза и полностью принимаю(-ем) на себя все возможные риски перевода (перечисления) денежных средств по реквизитам и на иных условиях, указанных в настоящем Заявлении, а также риски неисполнения настоящего Заявления, в том числе в связи с возможной недостоверностью указанной мною (нами) информации или вследствие ошибочного указания мною (нами) каких-либо данных.

Принимаю(-ем) на себя риски отказа иностранного депозитария и/или иностранного банка-корреспондента в исполнении распоряжения, указанного в настоящем Заявлении, по любым основаниям, включая отказ на основании применения санкционных ограничений или применения иных ограничительных мер, а также возможную блокировку денежных средств.

Осознаю (-ем) и соглашаемся с тем, что НКО АО НРД ни при каких обстоятельствах не может рассматриваться в качестве лица, отвечающего или несущего ответственность за действия (бездействие) иностранных депозитариев и/или иностранных банков-корреспондентов, а также лица, несущего ответственность за какие-либо негативные последствия, возникшие у подателя Заявления в связи с действиями (бездействием) иностранных депозитариев и/или банков корреспондентов, совершенных (не совершенных) на основании или в связи с распоряжением НКО АО НРД, поданного на основании настоящего Заявления. [↑](#footnote-ref-25)
25. Касается выплат в рублях. Информирование иностранного депозитария при передаче выплат в иностранной валюте регулируется пунктом 10 Указа. [↑](#footnote-ref-26)
26. Указывается в случае предоставления Заявления о перечислении денежных средств по Еврооблигациям на бумажном носителе и исключается в случае его направления через СЭД НРД [↑](#footnote-ref-27)
27. В случае если дата фиксации для выплаты купонного дохода и номинальной стоимости/ частичной номинальной стоимости совпадает, то указывается несколько вариантов. При выборе нескольких вариантов проставляется отметка напротив каждого вида выплаты по Еврооблигациям. / If the record date for the coupon (interest) yield and the face value/partial face value coincides, then several options are indicated. If more than one option is selected, a check mark is placed against each type of Eurobond payment option. [↑](#footnote-ref-28)
28. Для пересчета в штуки количества Еврооблигаций, выраженного в валюте по номинальной стоимости, можно разделить такое количество на номинальную стоимость одной Еврооблигации. [↑](#footnote-ref-29)
29. To convert the number of Eurobonds denominated in a currency at face value into units, such number may be divided by the face value of one Eurobond. [↑](#footnote-ref-30)
30. Подавая настоящее Заявление подтверждаю(-ем), что мне (нам) известно о включении НКО АО НРД в санкционные списки Европейского Союза и полностью принимаю(-ем) на себя все возможные риски перевода (перечисления) денежных средств по реквизитам и на иных условиях, указанных в настоящем Заявлении, а также риски неисполнения настоящего Заявления, в том числе в связи с возможной недостоверностью указанной мною (нами) информации или вследствие ошибочного указания мною (нами) каких-либо данных.

Принимаю(-ем) на себя риски отказа иностранного депозитария и/или иностранного банка-корреспондента в исполнении распоряжения, указанного в настоящем Заявлении, по любым основаниям, включая отказ на основании применения санкционных ограничений или применения иных ограничительных мер, а также возможную блокировку денежных средств.

Осознаю (-ем) и соглашаемся с тем, что НКО АО НРД ни при каких обстоятельствах не может рассматриваться в качестве лица, отвечающего или несущего ответственность за действия (бездействие) иностранных депозитариев и/или иностранных банков-корреспондентов, а также лица, несущего ответственность за какие-либо негативные последствия, возникшие у подателя Заявления в связи с действиями (бездействием) иностранных депозитариев и/или банков корреспондентов, совершенных (не совершенных) на основании или в связи с распоряжением НКО АО НРД, поданного на основании настоящего Заявления./ By submitting this Application, I confirm that I am (we are) aware of the inclusion of NSD in the sanctions lists of the European Union and fully accept all possible risks of transferring (remittance) funds according to the details and on other conditions specified in this Application, as well as the risks of non-fulfillment of this Application, including in connection with the possible inaccuracy of the information provided by me (us) or as a result of an erroneous indication by me (us) of any data.

I accept the risks of refusal by a foreign depository and/or a foreign correspondent bank to execute the order specified in this Application for any reason, including refusal based on the applying of sanctions restrictions or other restrictive measures, as well as possible blocking of funds.

I am aware of and agree that under no circumstances can NSD be considered as a person responsible or liable for actions (inaction) foreign depositories and/or foreign correspondent banks, as well as a person responsible for any negative consequences that have arisen for the applicant in connection with the actions (inaction) of foreign depositories and/or correspondent banks committed (not committed) on the basis of or in connection with the order of NSD, filed on based on this Application. [↑](#footnote-ref-31)
31. Касается выплат в рублях. Информирование иностранного депозитария при передаче выплат в иностранной валюте регулируется пунктом 10 Указа / Concerns payments in rubles. Informing a foreign depository when transferring payments in foreign currency is regulated by paragraph 10 of Decree No. 665. [↑](#footnote-ref-32)
32. Указывается в случае предоставления Заявления о перечислении денежных средств по Еврооблигациям на бумажном носителе и исключается в случае его направления через СЭД НРД/ To be specified if the Application for transfer of proceeds on Eurobonds is submitted in hard copy and is not applicable if it is sent through NSD's EDI system [↑](#footnote-ref-33)