Appendix 2 to the EDI Rules

**Form**

**Power of Attorney No. \_\_\_\_\_\_\_\_\_\_**

The City of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 (Date of issue)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, (Participant's full name)

represented by \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, (job title, full name)

acting under \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereby authorizes

|  |  |
| --- | --- |
| Last name |  |
| First name |  |
| Patronymic name (if any) |  |
| Passport details | Series |  | Number |  |
| Date of issue |  |
| Issuing authority |  |
| Insurance Number of Individual Account (SNILS) |  |
| Taxpayer Identification Number (INN) |  |

to act for and on behalf of the Participant and, in particular, to:

|  |  |
| --- | --- |
| 🞏 | [enter into agreements with NSD](#_заключать_с_НРД) |
| 🞏 | sign and submit to NSD any documents in connection with the signing and performance of agreements between NSD and the Participant  | 🞏 | [for depository operations, other than documents connected with securities dealings, corporate actions, and tax disclosures](#_по_депозитарной_деятельности,) | 🞏 | for all Participant's Codes |
| 🞏 | for the following Participant's Codes: |
| 🞏 | [for bank operations, other than documents connected with the use of cash funds](#_по_банковской_деятельности,) |
| 🞏 | [for repository operations](#_по_репозитарной_деятельности) | 🞏 | for all Participant's Codes |
| 🞏 | for the following Participant's Codes: |
| 🞏 | [for all other types of NSD's services](#_по_всем_иным)0 |
| 🞏 | sign and submit to NSD any documents | 🞏 | 1. [for clearing activities,](#" \o "To the list of documents/actions to be signed/executed under this authority)
2. [for depository operations, in connection with securities dealings, corporate actions, and tax disclosures](#" \o "To the list of documents/actions to be signed/executed under this authority)
 | 🞏 | for all Participant's Codes |
| 🞏 | for the following Participant's Codes: |
| 🞏 | [for banking operations, in connection with the use of cash funds](#_по_банковской_деятельности,_1) |
| 🞏 | [attest copies of documents submitted by the Participant to NSD](#_заверять_копии_документов,) |
| 🞏 | [sign and transmit via the NSD EDI System any documents to other Participants, not including NSD](#_подписывать_и_передавать)  |  |  |

This Power of Attorney is valid until \_\_ \_\_\_\_\_\_\_\_\_\_\_ 20\_\_ inclusive.

|  |  |  |
| --- | --- | --- |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Job title | Signed | First & last name |

|  |  |  |
| --- | --- | --- |
| Authority | Activity | **Documents/actions to be signed/executed under this authority** |
| enter into agreements with NSD | for all types of activities | Declaration of accession |
| Bank Account Application |
| Application for service/disconnection from service/termination of service under an information service agreement (API NSD, DISK NSD, Valuation Centre and etc.) |
| Notice of receipt of certificate of electronic signature verification key used without a power of attorney |
| Transmittal of transit untyped electronic documents to NSD |
| **sign and submit to NSD any documents in connection with the signing and performance of agreements between NSD and the Participant** **sign and submit to NSD any documents in connection with the signing and performance of agreements between NSD and the Participant**  | for depository operations, other than documents connected with securities dealings, corporate actions, and tax disclosures | Forms АА001, АА101, АА106, АА107 |
| Securities account/Account Application |
| Application for EDI (Web-clients, SWIFT, WEB-service for settlement services, e-mail, EDI with registrars, change of e-signature type) |
| Notice of receipt of certificate of electronic signature verification key used without a power of attorney |
| Instructions for transactions with codes 05, 06, 07, 40, 41, 42, 43, 44, 70, 90, 91, 93, 94, 97, 4С, 90/ECS, 530  |
| Transmittal of transit untyped electronic documents to NSD |
| FATCA Form |
| Documents from a corporate action registrar according to ISO20022 format |
| for bank operations, other than documents connected with the use of cash funds | Forms АА001, АА101, АА106 |
| Bank Account Registration Application |
| Transmittal of transit untyped electronic documents to NSD |
| Scanned documents submitted via the Member Portal |
| FATCA Form |
| Application for EDI (Web-clients, SWIFT, WEB-service for settlement services, e-mail, EDI with registrars, change of e-signature type) |
| Notice of receipt of certificate of electronic signature verification key used without a power of attorney |
| for repository operations | Forms АА001, АА101, АА106 |
| Notice of using the repository code when providing Reporting Agent's services |
| Application for EDI (Web-clients, SWIFT, WEB-service for settlement services, e-mail, EDI with registrars, change of e-signature type) |
| Notice of receipt of certificate of electronic signature verification key used without a power of attorney |
| Repository Documents |
| Transmittal of transit untyped electronic documents to NSD |
| Scanned documents submitted via the Member Portal |
| for all other types of NSD's services | Forms АА001, АА101, АА102, АА116, АА126 |
| Application for service/disconnection from service/termination of service under an information service agreement (API NSD, DISK NSD, Valuation Centre and etc.) |
| Application for EDI (Web-clients, SWIFT, WEB-service for settlement services, e-mail, EDI with registrars, change of e-signature type) |
| Notice of receipt of certificate of electronic signature verification key used without a power of attorney |
| Transmittal of transit untyped electronic documents to NSD |
| Transmittal of transit typed electronic documents to NSD |
| FATCA Form |
| Application for Verification of LEI |
| Documents from a corporate action registrar according to ISO20022 format |
| Information on parent companies |
| **sign and submit to NSD any documents** | for clearing activity,for depository operations, in connection with securities dealings, corporate actions, and tax disclosures | Instructions for all transactions, **excluding** transactions with codes 05, 06, 07, 40, 41, 42, 43, 44, 70 90, 91, 93, 94, 97, 4С, 90/ECS, 530 |
| Transmittal of transit untyped electronic documents to NSD |
| Transmission of incoming messages to the request management system of NSD’s centralized system for record keeping of mutual fund units (application for redemption/ acquisition/ exchange of mutual fund units and cancellation of the application) |
| For Transactions 16/3 (singe instruction) *(only when selecting two authorities for depository and banking operations at the same time)* |
| for banking operations, in connection with the use of cash funds |
| Payment documents/Payment order cancellation instructions |
| Transmittal of transit untyped electronic documents to NSD |
| attest copies of documents submitted by the Participant to NSD | for all types of activities | Scanned documents submitted via the Member Portal |
| Transmittal of transit untyped electronic documents to NSD |
| sign and transmit via the NSD EDI System any documents to other Participants, not including NSD  | for all types of activities | Documents interchange between NSD EDI Participants (transit via NSD) |
| REPO trade confirmation/cancellation (using the collateral management system web-client) |
|  |  | Transmittal of transit untyped electronic documents |