The NSD Trade Repository

Manual for Completion of <party> (Party) and Identifiers of the Parties in Repository Messages

According to the Repository format <**party**> *(Party)* must be included in the xml-message **several times** depending on the type of a Reporting Form and the number of its participants (Table 1).

Table 1.

Тип Анкеты	Участники
• Contract Form (CM021 – CM081) • Master Agreement Form (CM010) • Master Agreement Termination Form (CM011)	1) TradeRepository (Repository – NSD) 2) Party 1 (Party 1) 3) Party 2 (Party 2) [1] 4) Sender (Reporting Agent) 5) UTIGeneratingParty (UTI Generating Party)
• Credit Support Annex Report (CM092) • Contract Obligation Status Reporting Form (CM093) • Mark to Market Valuation Report (CM094)	1) TradeRepository (Repository – NSD) 2) Party 1 (Party 1) 3) Party 2 (Party 2) 4) Sender (Reporting Agent)
• Application for Designation of Authorized Reporting Agent (CM016) • Application for Rejection of Reporting Agent Functions (CM017)	1) TradeRepository (Repository – NSD) 2) Party 3) Sender

The element <**partyId**> *(Party's identifier)* must be defined twice for each participant.

1. **The rules of completion of the block <party> (Party) for the following participants: TradeRepository, Sender, UTIGeneratingParty.**

- The first element <**partyId**> *(Party's identifier)* shall contain the **repository code[2].**
- The second element <**partyId**> *(Party's identifier)* shall contain the **LEI code.**
- The element <**partyName**> *(Party's name)* shall contain the party's short legal name from the Reference guide of repository participants.[3]

Web-cabinet

LEI for sender	LEI_111NSD111RPZNTEST111
UTI issuing party	[NSD111RPZTST] Универсальный тестовый клиент
LEI for UTI issuing party	LEI_111NSD111RPZNTEST111

Information about the UTI Generating Party and the LEI code of the Sender are manually completed in the web-client interface. Other information is added to xml-message automatically.

XML-message

```
<party id="TradeRepository">
        <partyId>NDC000000000</partyId>
        <partyId>LEI_253400M18U5TB02TW421</partyId>
        <partyName>НКО ЗАО НРД</partyName>
</party>
<party id="Sender">
        <partyId>RPZNTEST111</partyId>
        <partyId>LEI_111NSD111RPZNTEST111</partyId>
        <partyName>Универсальный тестовый клиент</partyName>
</party>
<party id="UTIGeneratingParty">
```

---

[1] Party1 and Party2 of the Contract shall be defined in the same way as in the Master Agreement. If the Contract is concluded without a Master Agreement, the counterparties shall agree in advance on how to specify the parties.
[2] If "UTIGeneratingParty" is not the Repository client, the value "NONREF" is applicable.
[3] If "UTIGeneratingParty" is not the Repository client, the official name of the legal entity shall be specified.

<partyId\>RPZNTEST111</partyId\>
<partyId\>LEI_111NSD111RPZNTEST111</partyId\>
<partyName\>Универсальный тестовый клиент</partyName\>
</party\>

2. The rules of completion of the block \<party\> (Party) for the following participants: Party 1, Party 2.

- The first element <**partyId**> *(Party's identifier)* shall contain the **repository code** of the Repository client or "**NONREF**" if the party is not the Repository client.

- The second element <**partyId**> *(Party's identifier)* shall contain the code defined as "code type_code" in accordance with the rules defined in the Table 2 and with the Reference Guide http://repository.nsd.ru/en/versioned/current/taxonomy/partyid(nsdrus).

- The element <**partyName**> *(Party's name)* shall contain the short legal name of the Repository client from <u>the Reference guide of repository participants</u> or the official name of the legal entity (surname, first name, patronymic surname of the individual) if the party is not the Repository client.

- The element <**country**> *(Country)* shall contain the value from the <u>Reference Guide</u> in accordance with the rules defined in the Table 2.

- The element <**organizationType**> *(Organization type)* shall contain the value from the <u>Reference Guide</u> in accordance with the rules defined in the Table 2.

- The element <**classification**> *(Industry classification)* shall contain the value from the <u>Reference Guide</u> in accordance with the rules defined in the Table 2.

The value «NONREF» may be used only in relation to the counterparty in the case of bilateral reporting with consecutive/combined confirmation. This value must be corrected by the counterparty after receiving the Confirmation Request (RM005).

Table 2.

	partyId (second code)	country	organizationType	classification
1) Legal entity established in accordance with the Russian Federation legislation, **obliged** to provide information to the Repository.	• Legal Entity Identifier (LEI)	RU	L	Value from the <u>Reference Guide</u>, except for «Other».
2) Legal entity established in accordance with the Russian Federation legislation, **not obliged** to provide information to the Repository.	• Legal Entity Identifier (LEI) or • Tax Identification Number (INN)	RU	L	Other
3) Individual – resident of the Russian Federation.	• Insurance individual account number (SNILS) or • Passport ID (PASS)	RU	P	Other
4) Foreign entity.	• Legal Entity Identifier (LEI) • SWIFT • Bloomberg code (BLOOM) • Thomson Reuters code (THRTR) • Other code (OWN)	Value from the <u>Reference Guide</u>, except for «RU».	Value from the <u>Reference Guide</u>.	Value from the <u>Reference Guide</u>.

EXAMPLES

1) The Party is a legal entity established in accordance with the Russian Federation legislation, obliged to provide information to the Repository.

1.1. Unilateral reporting.

Web-cabinet

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity
Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	LEI_002NSD002RPZNTEST002
Classification for party 2	B Broker
Country for party 2	RU RUSSIAN FEDERATION
Organization type for party 2	L Legal entity

XML-message

```xml
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>LEI_002NSD002RPZNTEST002</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>B</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
```

1.2. Bilateral reporting.

Web-cabinet
Party1 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity

Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	NONREF
Classification for party 2	NONREF NONREF
Country for party 2	RU RUSSIAN FEDERATION
Organization type for party 2	L Legal entity

Party2 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	NONREF
Classification for party 1	NONREF NONREF
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity

Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	LEI_002NSD002RPZNTEST002
Classification for party 2	B Broker
Country for party 2	RU RUSSIAN FEDERATION
Organization type for party 2	L Legal entity

Party1 XML-message

```xml
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>NONREF</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>NONREF</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
```

Party2 XML-message

```xml
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId> NONREF </partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification> NONREF </classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
```

```
        <partyId>LEI_002NSD002RPZNTEST002</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>B</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
```

2) The Party is a legal entity established in accordance with the Russian Federation legislation, not obliged to provide information to the Repository.

2.2. Unilateral reporting.

Web-cabinet

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity

Party 2	[NONREF] Универсальный тестовый участник (Сторона 2)
LEI/SWIFT/INN for party 2	INN_0123456789
Classification for party 2	Other Other
Country for party 2	RU RUSSIAN FEDERATION
Organization type for party 2	L Legal entity

XML-message

```
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NONREF</partyId>
        <partyId>INN_0123456789</partyId>
        <partyName>Универсальный тестовый участник</partyName>
        <classification>Other</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
```

2.2. Bilateral reporting.

Web-cabinet

Party1 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation ▾
Country for party 1	RU RUSSIAN FEDERATION ▾
Organization type for party 1	L Legal entity ▾
Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	NONREF
Classification for party 2	NONREF NONREF ▾
Country for party 2	RU RUSSIAN FEDERATION ▾
Organization type for party 2	L Legal entity ▾

Party2 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	NONREF
Classification for party 1	NONREF NONREF ▾
Country for party 1	RU RUSSIAN FEDERATION ▾
Organization type for party 1	L Legal entity ▾

Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	INN_0123456789
Classification for party 2	Other Other ▾
Country for party 2	RU RUSSIAN FEDERATION ▾
Organization type for party 2	L Legal entity ▾

Party1 XML-message

```xml
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>NONREF</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>NONREF</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
```

Party2 XML-message

```xml
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId> NONREF </partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification> NONREF </classification>
```

```
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>INN_0123456789</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>Other</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
```

3) The Party is a resident of Russia, individual and is not obliged to provide information to the Repository.

3.1. Unilateral reporting.

Web-cabinet

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity

Party 2	[NONREF] Универсальный тестовый участник (Сторона 2)
LEI/SWIFT/INN for party 2	SNILS_123-456-789 01
Classification for party 2	Other Other
Country for party 2	RU RUSSIAN FEDERATION
Organization type for party 2	P Individual

XML-message

```
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NONREF</partyId>
        <partyId>SNILS_123-456-789 01</partyId>
        <partyName>Универсальный тестовый участник</partyName>
        <classification>Other</classification>
        <country>RU</country>
        <organizationType>P</organizationType>
</party>
```

3.2. Bilateral reporting.

Web-cabinet
Party1 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity
Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	NONREF
Classification for party 2	NONREF NONREF
Country for party 2	RU RUSSIAN FEDERATION
Organization type for party 2	P Individual

Party2 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	NONREF
Classification for party 1	NONREF NONREF
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity

Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	SNILS_123-456-789 01
Classification for party 2	Other Other
Country for party 2	RU RUSSIAN FEDERATION
Organization type for party 2	P Individual

Party1 XML-message

```
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>NONREF</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>NONREF</classification>
        <country>RU</country>
        <organizationType>P</organizationType>
</party>
```

Party2 XML-message

```
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
```

```
        <partyId>NONREF</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification> NONREF </classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>SNILS_123-456-789 01</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>Other</classification>
        <country>RU</country>
        <organizationType>P</organizationType>
</party>
```

4) The Party is a non-resident of Russia and not obliged to provide information to the Repository.

4.1. Unilateral reporting.

Web-cabinet

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity
Party 2	[NONREF] Универсальный тестовый участник (Сторона 2)
LEI/SWIFT/INN for party 2	SWIFT_SWIFTCOD
Classification for party 2	NONREF NONREF
Country for party 2	GB UNITED KINGDOM
Organization type for party 2	L Legal entity

XML-message

```
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NONREF</partyId>
        <partyId>SWIFT_SWIFTCOD</partyId>
        <partyName>Универсальный тестовый участник</partyName>
        <classification>NONREF</classification>
        <country>GB</country>
        <organizationType>L</organizationType>
</party>
```

4.2. Bilateral reporting.

Web-cabinet

Party1 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	LEI_001NSD001RPZNTEST001
Classification for party 1	CI Credit organisation
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity
Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	NONREF
Classification for party 2	NONREF NONREF
Country for party 2	GB UNITED KINGDOM
Organization type for party 2	L Legal entity

Party2 message

Party 1	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)
LEI/SWIFT/INN for party 1	NONREF
Classification for party 1	NONREF NONREF
Country for party 1	RU RUSSIAN FEDERATION
Organization type for party 1	L Legal entity
Party 2	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)
LEI/SWIFT/INN for party 2	SWIFT_SWIFTCOD
Classification for party 2	B Broker
Country for party 2	GB UNITED KINGDOM
Organization type for party 2	L Legal entity

Party1 XML-message

```xml
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
        <partyId>LEI_001NSD001RPZNTEST001</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification>CI</classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>NONREF</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>NONREF</classification>
        <country>GB</country>
        <organizationType>L</organizationType>
</party>
```

Party2 XML-message

```xml
<party id="Party1">
        <partyId>NSD001RPZTST</partyId>
```

```
        <partyId>NONREF</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 1)</partyName>
        <classification> NONREF </classification>
        <country>RU</country>
        <organizationType>L</organizationType>
</party>
<party id="Party2">
        <partyId>NSD002RPZTST</partyId>
        <partyId>SWIFT_SWIFTCOD</partyId>
        <partyName>Универсальный тестовый клиент (Сторона 2)</partyName>
        <classification>B</classification>
        <country>GB</country>
        <organizationType>L</organizationType>
</party>
```