The NSD Trade Repository

**Manual for Completion of <clientDetails>
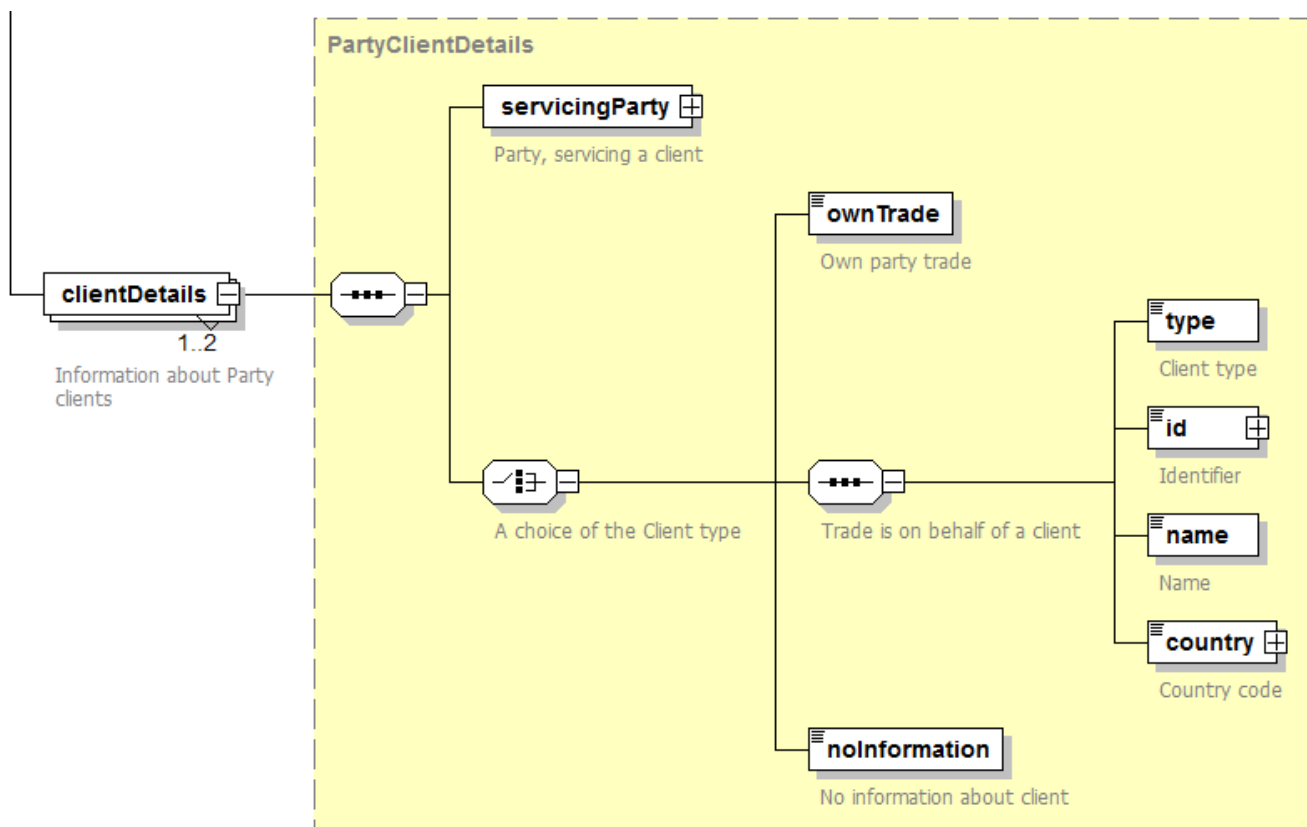(Information about Party clients) in Contract Forms**

In accordance with Appendix 1 of Bank of Russia Ordinance No. 4104-U dated 16 August 2016, Table 2 (General information about Contract) the information about the clients of the parties shall be provided to the repository. In order to comply with the regulation the XSD-schema of the NSD Trade Repository contains the complex element <**clientDetails**> (*Information about Party clients*).

```xml
<xsd:element name="clientDetails" type="PartyClientDetails" maxOccurs="2">
        <xsd:annotation>
                <xsd:appinfo source="mre"/>
                <xsd:documentation source="nsdr" xml:lang="ru">Информация о клиенте стороны
        </xsd:documentation>
                <xsd:documentation source="nsdr" xml:lang="en">Information about Party clients
        </xsd:documentation>
                <xsd:documentation source="stdc" xml:lang="ru">Информация о клиенте стороны
        </xsd:documentation>
                <xsd:documentation source="stdc" xml:lang="en">Information about Party clients
        </xsd:documentation>
        </xsd:annotation>
</xsd:element>
```

It includes the following elements:

1. <**servicingParty**> *(Party, servicing a client)* – the identifier indicated as "Party1" or "Party2". It means that the client information relates to this Party.
2. **A choice of the client type** has three options:
    *   **"Own party trade"**

        If <**ownTrade**> *(Own party trade)* is defined as "**true**", this is a proprietary trade of the Party indicated in <**servicingParty**>. The value "**true**" corresponds to the value "**N**" of the "Type of a client" defined in Appendix 1 of Bank of Russia Ordinance No. 4104-U dated 16 August 2016, and means that a contract is concluded not in the client's interest.

Information about Party clients		
Party, servicing a client ?	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)	▼
A choice of the Client type	Own party trade Договор заключен не в интересах клиента	▼

XML-message

```
<nsdext:clientDetails>
        <nsdext:servicingParty href="Party1"/>
        <nsdext:ownTrade>true</nsdext:ownTrade>
</nsdext:clientDetails>
```

- **"Trade is on behalf of a client"**

  This choice means that a contract is concluded in the client's interest. In this case information about the client must contain the following:

  o **<type>** *(Client type)* shall be defined in accordance with Appendix 1 of Bank of Russia Ordinance No. 4104-U dated 16 August 2016:

     F – the client is a professional securities market participant, a credit institution, an insurance company, a management company, a non-governmental pension fund or another organization whose core activity is the activity for the provision of financial services, as well as the auxiliary activity in the sphere of financial services;

     L – the client is classified as another type of a legal entity;

     P – the client is an individual.

  o **<id>** *(Identifier)* – the client code defined in accordance with the Reference Guide http://repository.nsd.ru/en/versioned/current/taxonomy/partyid(nsdrus):

     – For residents of Russia – legal entities: LEI_XXXXXXXXXXXXXXXXXXXX or INN_XXXXXXXXXX.

     – For residents of Russia – individuals: SNILS_XXX-XXX-XXX XX or PASS_XXXXXXXXXX.

     – For foreign entities: LEI, SWIFT, Bloomberg, Thomson Reuters or OWN, defined as "code type_code", for example LEI_XXXXXXXXXXXXXXXXXXXX.

  o **<name>** *(Name)* – the short name of a client in Russian or English (the full legal name of the entity or the full name of the individual).

  o **<country>** *(Country code)* – the country code corresponding to the legal address of a client in accordance with ISO 3166.

Information about Party clients		
Party, servicing a client ?	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)	▼
A choice of the Client type	Trade is on behalf of a client Trade is on behalf of a client	▼
Client type ?	P Клиент является физическим лицом	▼
Identifier ?	SNILS_123-456-789 01	
Name ?	Иванов Иван Иванович	
Country code ? ⬚	RU RUSSIAN FEDERATION	▼

XML-message

```
<nsdext:clientDetails>
        <nsdext:servicingParty href="Party2"/>
        <nsdext:type>P</nsdext:type>
        <nsdext:id>SNILS_123-456-789 01</nsdext:id>
        <nsdext:name>Иванов Иван Иванович</nsdext:name>
        <nsdext:country countryScheme="http://www.fpml.org/ext/iso3166">RU</nsdext:country>
</nsdext:clientDetails>
```

- **"No information about client"**

  This choice is allowed in case of unilateral registration of a message which contains information about the counterparty specified in **<servicingParty>**. This counterparty:

- is not the NSD Trade Repository client, or

- is the NSD Trade Repository client that has provided the Rejection to report to the Repository.

In other case this choice is not allowed.

The field <**noInformation**> *(No information about client)* shall be defined as "**true**".

Web-cabinet

XML-message

<nsdext:clientDetails>
        <nsdext:servicingParty href="Party1"/>
        <nsdext:noInformation>true</nsdext:noInformation>
</nsdext:clientDetails>
<nsdext:clientDetails>
        <nsdext:servicingParty href="Party2"/>
        <nsdext:type>P</nsdext:type>
        <nsdext:id>SNILS_123-456-789 01</nsdext:id>
        <nsdext:name>Иванов Иван Иванович</nsdext:name>
        <nsdext:country countryScheme="http://www.fpml.org/ext/iso3166">RU</nsdext:country>
</nsdext:clientDetails>

The complex element <**clientDetails**> (*Information about Party clients*) is repeatable and must be defined for each party of the contract (Party 1 and Party 2):

- **In the case of unilateral reporting** an xml-message must contain two <clientDetails> blocks (the reporting agent must provide information about the clients of both Parties).

Web-cabinet

XML-message

<nsdext:clientDetails>
        <nsdext:servicingParty href="Party1"/>
        <nsdext:ownTrade>true</nsdext:ownTrade>
</nsdext:clientDetails>
<nsdext:clientDetails>

```
        <nsdext:servicingParty href="Party2"/>
        <nsdext:type>P</nsdext:type>
        <nsdext:id>SNILS_123-456-789 01</nsdext:id>
        <nsdext:name>Иванов Иван Иванович</nsdext:name>
        <nsdext:country countryScheme="http://www.fpml.org/ext/iso3166">RU</nsdext:country>
</nsdext:clientDetails>
```

- **In the case of bilateral reporting** it is possible to complete <clientDetails> at least for one party (Party 1 or Party 2 itself). In this case the information about the client of the counterparty <u>must be provided</u> in the counter message or <u>must be added</u> during the consecutive confirmation process.

  In the case of **combined confirmation** of reporting forms the party's information provided in <clientDetails> is not available to the counterparty or its reporting agent.[1]

Party 1 message
Web-cabinet

Information about Party clients		✚ ✕
Party, servicing a client ?	[NSD001RPZTST] Универсальный тестовый клиент (Сторона 1)	▾
A choice of the Client type	Own party trade Договор заключен не в интересах клиента	▾

XML-message
```
<nsdext:clientDetails>
        <nsdext:servicingParty href="Party1"/>
        <nsdext:ownTrade>true</nsdext:ownTrade>
</nsdext:clientDetails>
```

Party 2 message
Web-cabinet

Information about Party clients		✚ ✕
Party, servicing a client ?	[NSD002RPZTST] Универсальный тестовый клиент (Сторона 2)	▾
A choice of the Client type	Trade is on behalf of a client Trade is on behalf of a client	▾
Client type ?	P Клиент является физическим лицом	▾
Identifier ?	SNILS_123-456-789 01	
Name ?	Иванов Иван Иванович	
Country code ? ⧉	RU RUSSIAN FEDERATION	▾

XML-message
```
<nsdext:clientDetails>
        <nsdext:servicingParty href="Party2"/>
        <nsdext:type>P</nsdext:type>
        <nsdext:id>SNILS_123-456-789 01</nsdext:id>
        <nsdext:name>Иванов Иван Иванович</nsdext:name>
        <nsdext:country countryScheme="http://www.fpml.org/ext/iso3166">RU</nsdext:country>
</nsdext:clientDetails>
```

All elements in <**clientDetails**> (*Information about Party clients*) have "**special field reconciliation**" (**sfr**). This means that the elements are mandatory to be included into the message in order to complete the registration process. If such elements are different in counter messages, the registration will be rejected. If only one party includes these elements, their values shall be entered into the registry.

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[1] After receiving the message with <clientDetails> provided by the party, the Repository deletes this block in the Request for approval (RM005) sent to the counterparty (its reporting agent). When the Confirmation acknowledgement (CM001) received from the counterparty is registered, two blocks <clientDetails> (for Party 1 and Party 2) are entered into the final form in the registry. In the Statement report (RM004) and in the interface of the web-client of the NDS Trade Repository the information about the clients of counterparties is not disclosed.